

# Few-nucleon reactions and the nuclear interaction

*TNET Workshop*

*Guildford (UK)*

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INFN - Pisa (Italy)



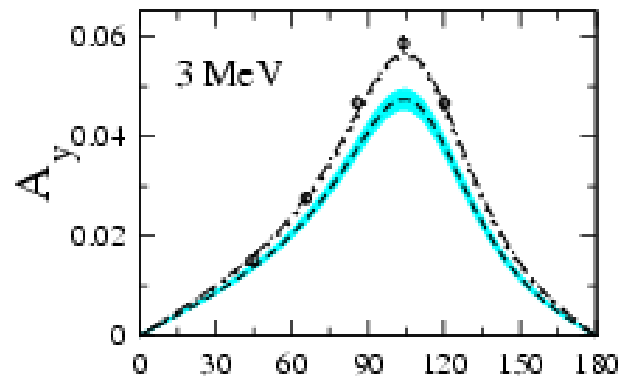
## **\*\* Summary \*\***

- NN interaction
- HH method for  $A=3,4$  nuclear systems
- Bound states & Form Factors
- p-  $^3\text{He}$  scattering
- Developments & conclusions

# NN interaction (1)

- Realistic (phenomenological) potentials
  - ❖ Argonne V18 [Wiringa et al, 1995]
  - ❖ CD Bonn [Machleidt, 2001]
  - ❖ Nijmegen [Stoks et al, 1994]
  - $\approx 40$  parameters fitted to NN data
- Underbinding of  $A \geq 3$  nuclei

- $A_y$  puzzle



# NN interaction (2)

- Effective field theory based on chiral symmetry

- ❖ [Weinberg 1991, van Kolck 1994]

$$\mathcal{L} = \bar{N} \left[ i\partial_0 - \frac{1}{4f_\pi^2} \vec{\tau} \cdot (\vec{\phi}_\pi \times \partial_0 \vec{\phi}_\pi) - \frac{g_A}{2f_\pi} \vec{\tau} \cdot (\boldsymbol{\sigma} \cdot \nabla) \vec{\phi}_\pi + \dots \right] N$$

- Presence of the chiral two-pion exchange component

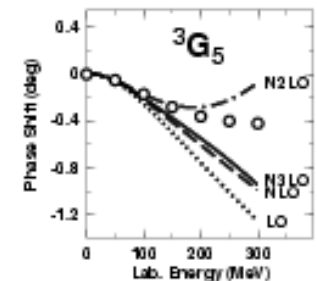
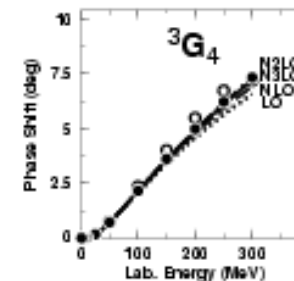
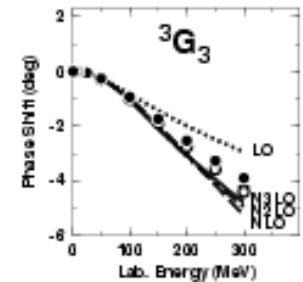
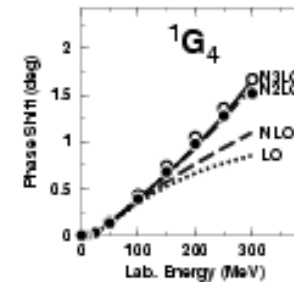
- ❖ [Rentmeester et al, 1999]



- $N^3LO$  potential

- ❖ [Epelbaum et al,  
nucl-th/0405048]

- ❖ [Eftem & Machleidt, 2003]



## NN interaction (3)

- Low-momentum potentials
  - ▣ [Bogner, Kuo & Schwenk, 2003]

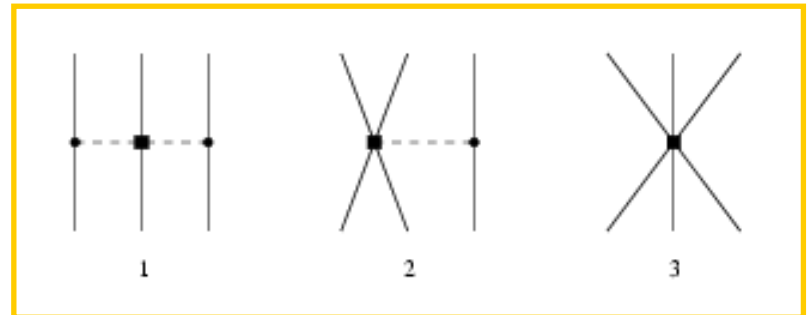
low energy states  $|n\rangle$  with  $k < \Lambda$

$$P = \sum_n^{k < \Lambda} |n\rangle\langle n| \quad PH_{low k} P\Psi = EP\Psi$$

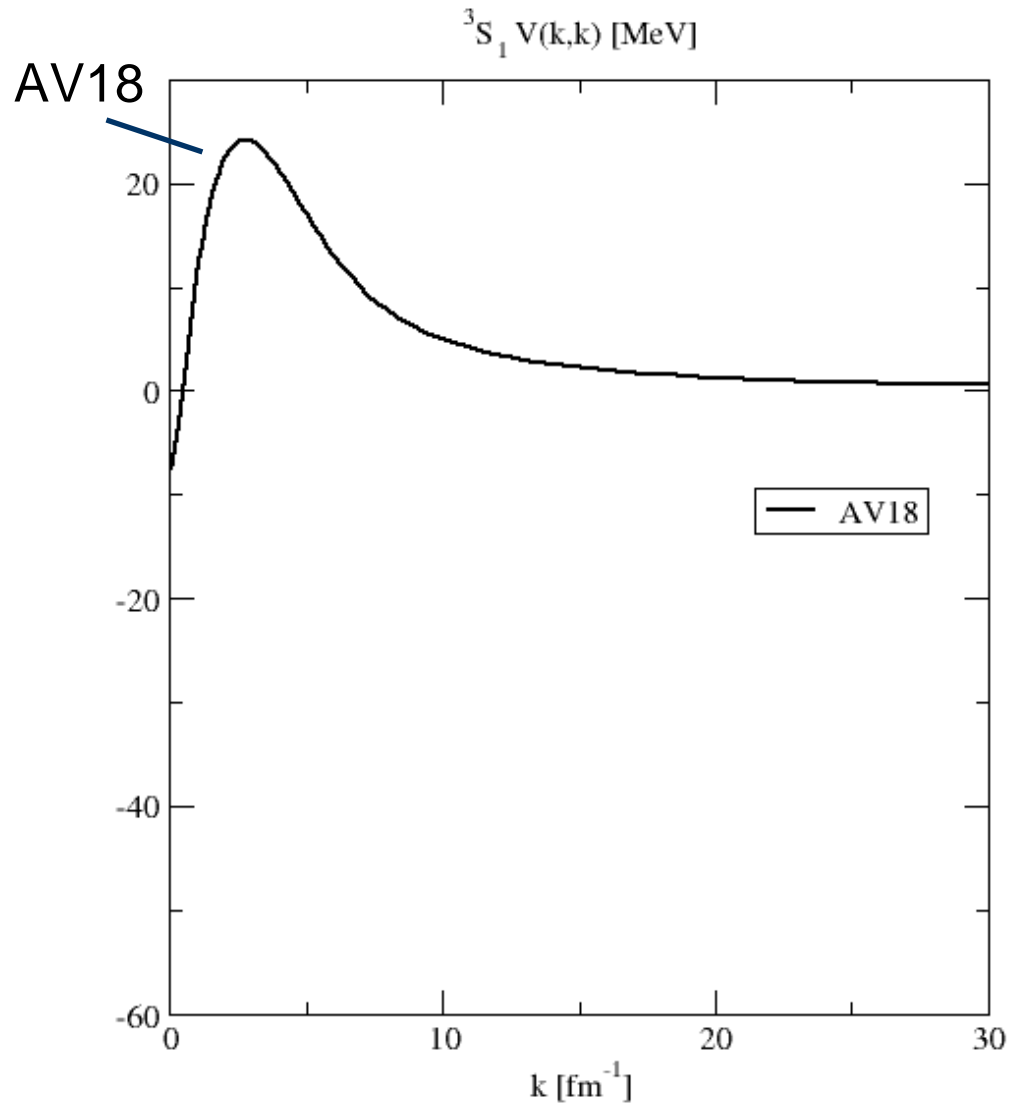
- ▣ The new effective Hamiltonian is operative only within the low-energy model space

# 3N interaction

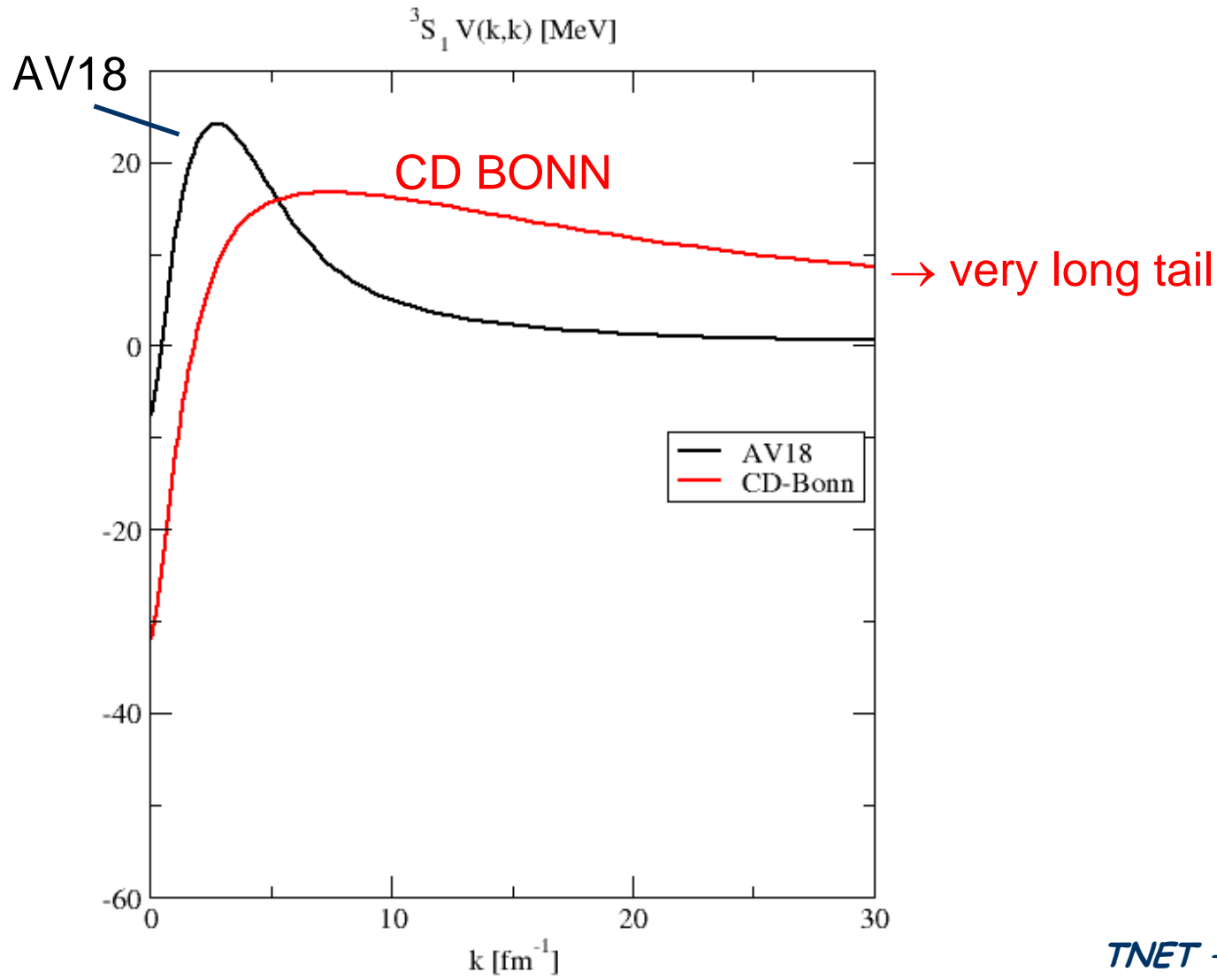
- “Old models”
  - Brazil & Tucson Melbourne [Friar et al, 1999]
  - Urbana [Epelbaum et al, 2002]
- new proposed models
  - Illinois ( $3\pi$  exchanges) [Pieper et al, 2001]
  - Chiral symmetry
    - ❖ [Friar et al, 1999]
    - ❖ [Epelbaum et al, 2002]



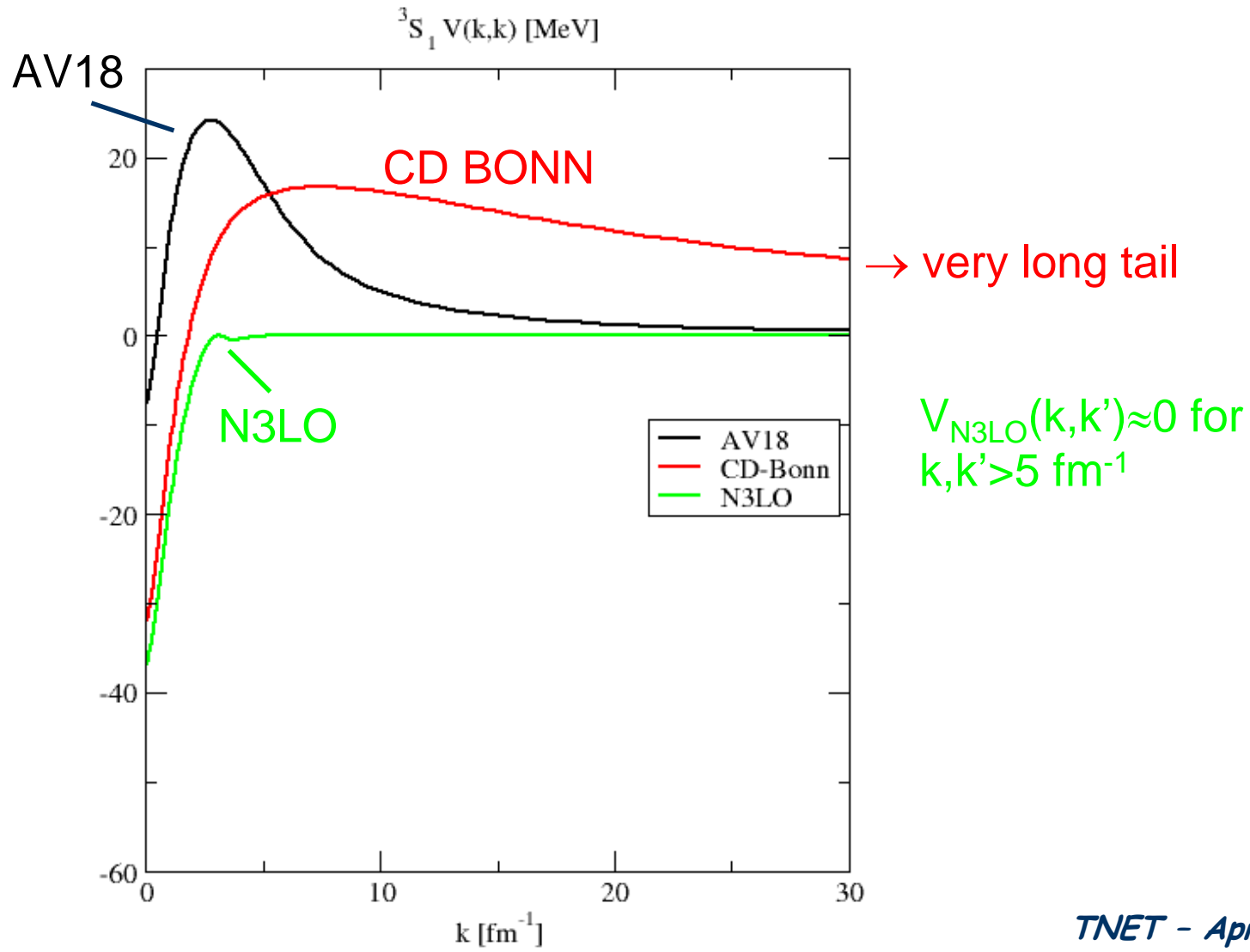
# NN potentials in p-space



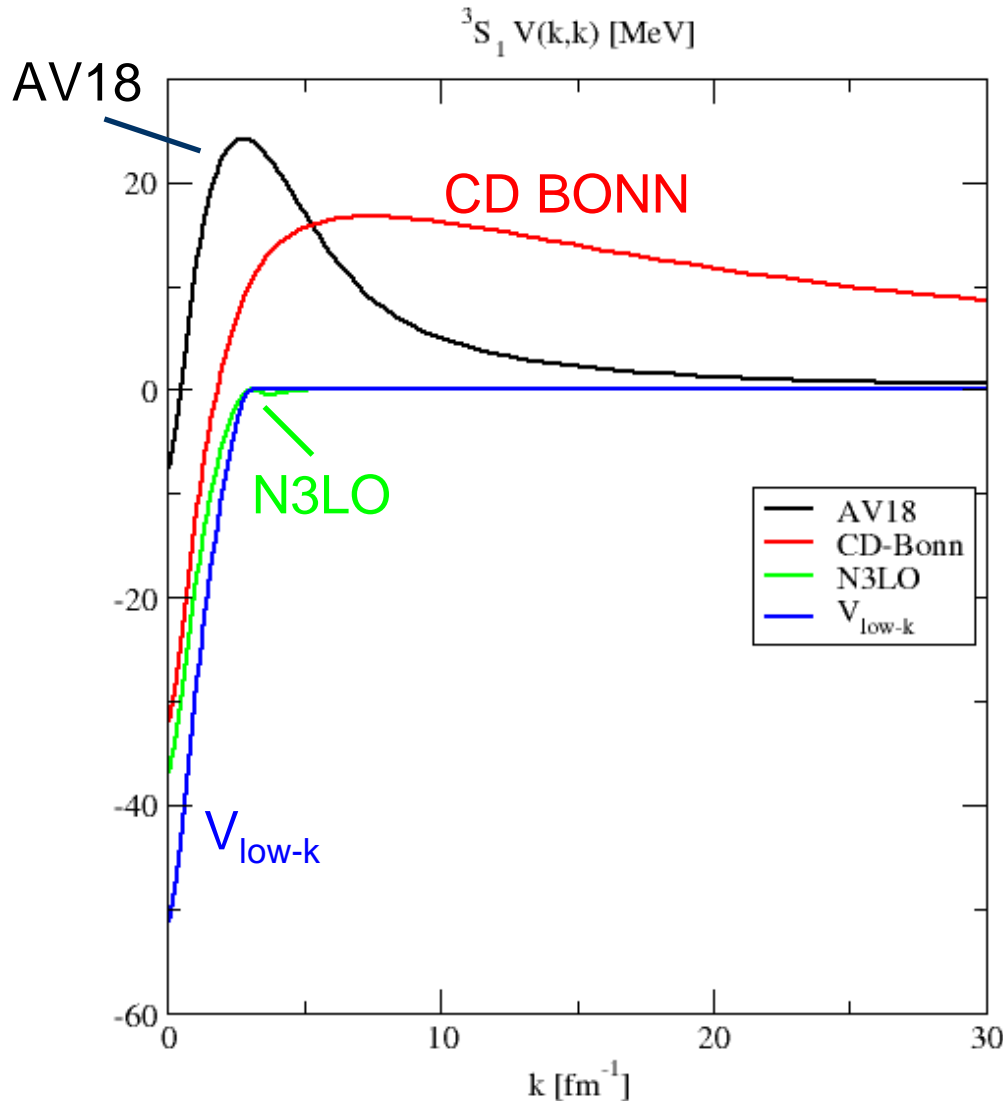
# NN potentials in p-space



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# NN potentials in p-space



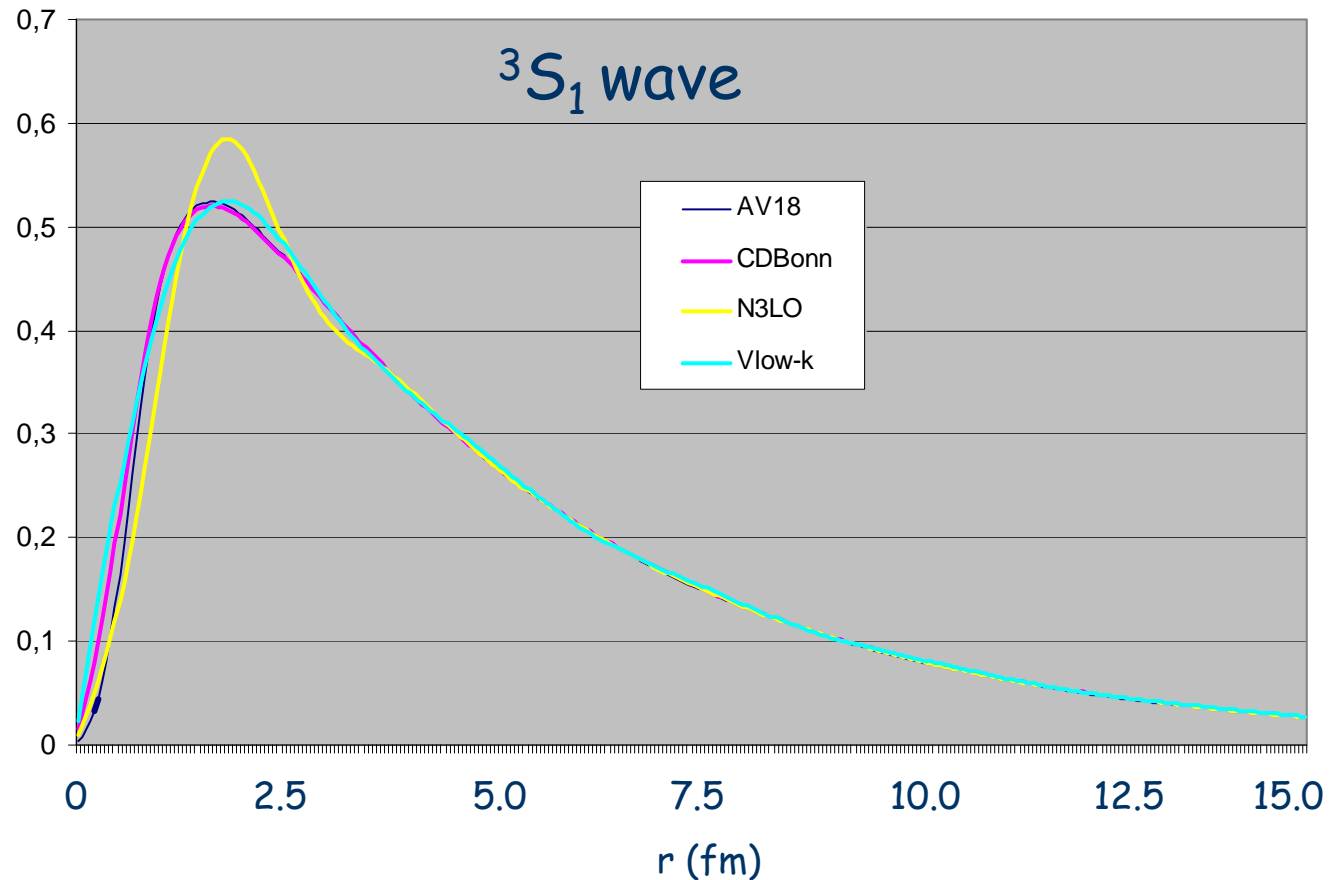
→ very long tail

$V_{\text{N3LO}}(k,k') \approx 0$  for  
 $k, k' > 5 \text{ fm}^{-1}$

$V_{\text{low-k}}(k,k') = 0$  for  
 $k, k' > 2.1 \text{ fm}^{-1}$

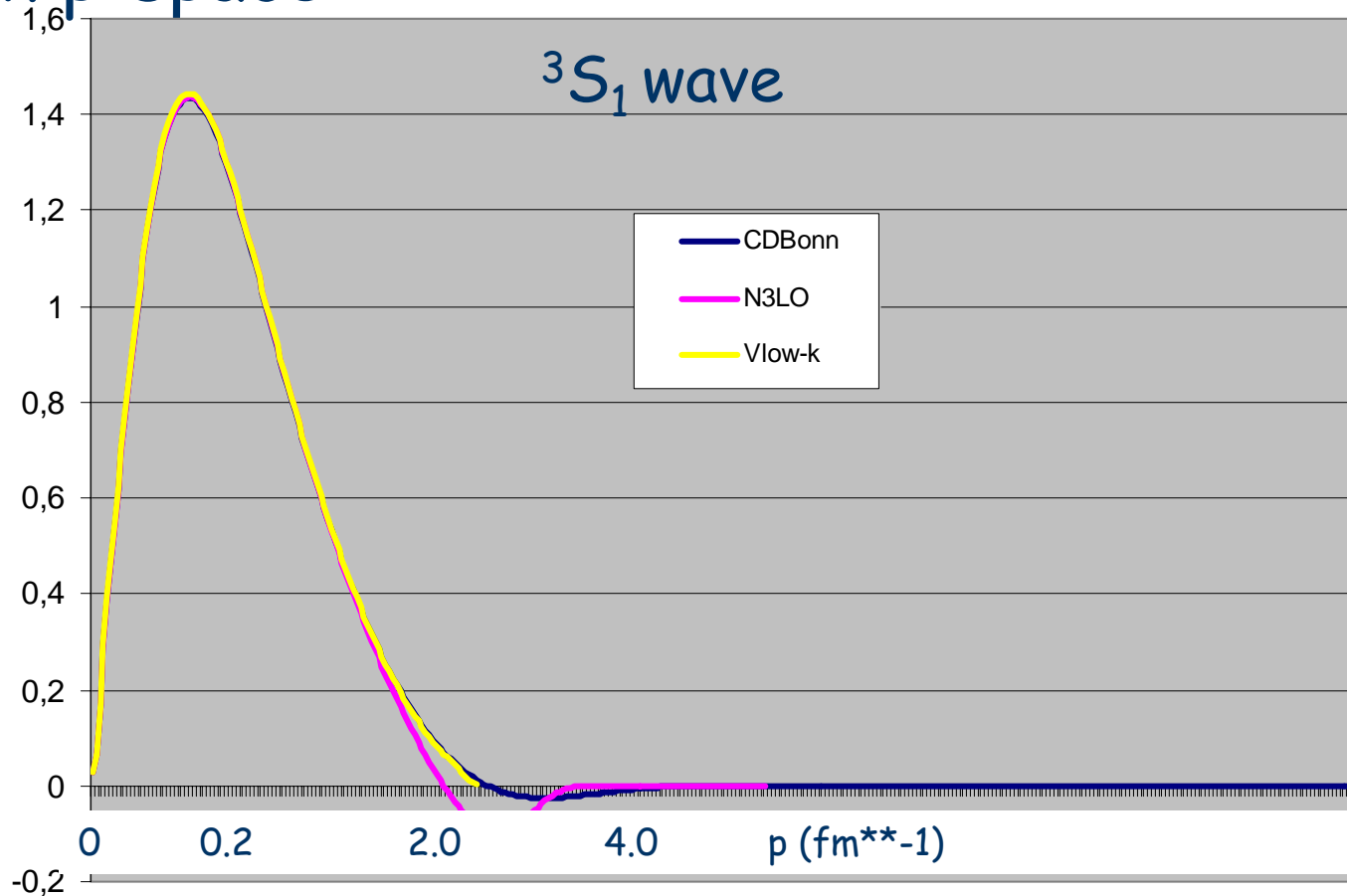
# Deuteron wave function

- In r-space:



# Deuteron wave function

- In p-space:



# The $A=3$ & 4 systems as a laboratory

- Test of new NN forces
    - "tuned" using 2N and 3N systems
  - Electro-magnetic & weak transitions
    - Transition operators  $\Leftrightarrow$  interactions
    - Electron scattering & radiative capture
  - Study of the 3N & 4N dynamics
    - Reactions of astrophysical interest
    - New effects (resonances, ...)
- ⇒ We need accurate and reliable techniques

# HH method [Kievsky, Rosati, Marcucci, MV]

- Expansion of the wave functions in the HH basis
  - Correlated version [NP **A551**, 241 (1993)]
- Variational methods (Rayleigh-Ritz or Kohn-Hulthen)
- HH functions = eigenfunctions of a part of the kinetic energy operator
- For bound states: convergence properties with respect to  $K$  known
  - [Schneider, 1972]
- Zernike & Brinkman, 1935
- Simonov, 1967
- Efros, Zhukov, ..., 1972
- Fabre de la Ripelle, 1983
- It can be applied equally well in  $r$ - and  $p$ -space
  - Bound states
  - Scattering states (in progress)

$$\Lambda^2(\Omega)Y_{K,\nu}(\Omega) = -K(K + 3A - 5)Y_{K,\nu}(\Omega)$$

# HH & CHH method (1)

- Jacobi vectors  $\vec{\xi}_1, \vec{\xi}_2, \vec{\xi}_3$
- Hyperangular variables

$$\rho, \Omega = (\hat{\xi}_1, \hat{\xi}_2, \hat{\xi}_3, \phi_2, \phi_3)$$

$$\rho = \sqrt{(\vec{\xi}_1)^2 + (\vec{\xi}_2)^2 + (\vec{\xi}_3)^2}$$

$$\begin{cases} \cos \phi_3 = \xi_3 / \rho \\ \cos \phi_2 = \xi_2 / \sqrt{(\xi_1)^2 + (\xi_2)^2} \end{cases}$$

- Kinetic energy

$$T \rightarrow -\frac{\hbar^2}{2M} \left( \frac{\partial^2}{\partial \rho^2} + \frac{8}{\rho} \frac{\partial}{\partial \rho} - \frac{\Lambda^2(\Omega)}{\rho^2} \right)$$

- Hyperspherical Harmonics

$$\Lambda^2(\Omega) Y_{K,\nu}(\Omega) = -K(K+7) Y_{K,\nu}(\Omega)$$

- Expansion of the wave functions

$$\Psi = \sum_{K,\mu} F u_{K,\mu}(\rho) Y_{K,\mu}(\Omega)$$

- $F = 1$  usual expansion (HH)
- $F = \prod_{i < j} f(r_{ij})$  Correlated expansion (CHH)

# HH and CHH method (2)

- Bound state

$$\Psi = \sum_{K,\mu} F u_{K,\mu}(\rho) Y_{K,\mu}(\Omega)$$

- Rayleigh-Ritz variational principle

- Boundary conditions

$$u_{K,\mu}(\rho) \rightarrow 0 \quad \rho \rightarrow \infty$$

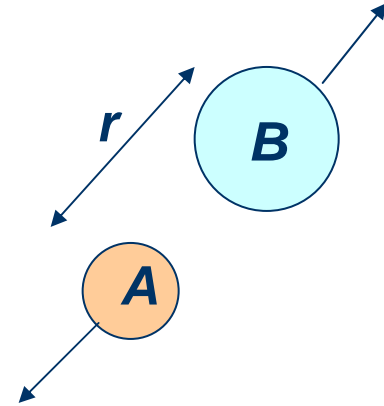
- Scattering states

$$\Psi = \sum_{K,\mu} F u_{K,\mu}(\rho) Y_{K,\mu}(\Omega) + \sum_{A,B} \Phi_A \Phi_B \sin(q_{AB} r + \delta_\ell)$$

- Kohn variational principle

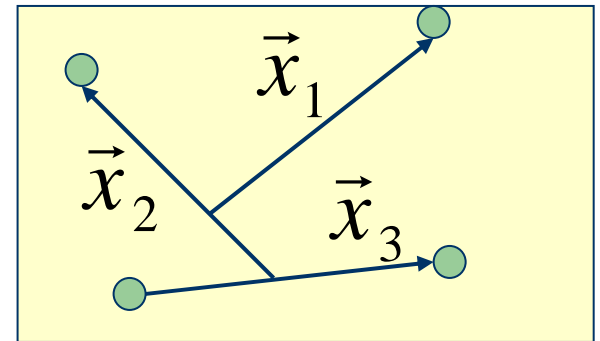
- Boundary conditions

$$u_{K,\mu}(\rho) \rightarrow \frac{e^{iQ\rho}}{\rho^{(3A-1)/2}} \quad \rho \rightarrow \infty$$



# Classes of HH functions ( $\alpha$ -particle)

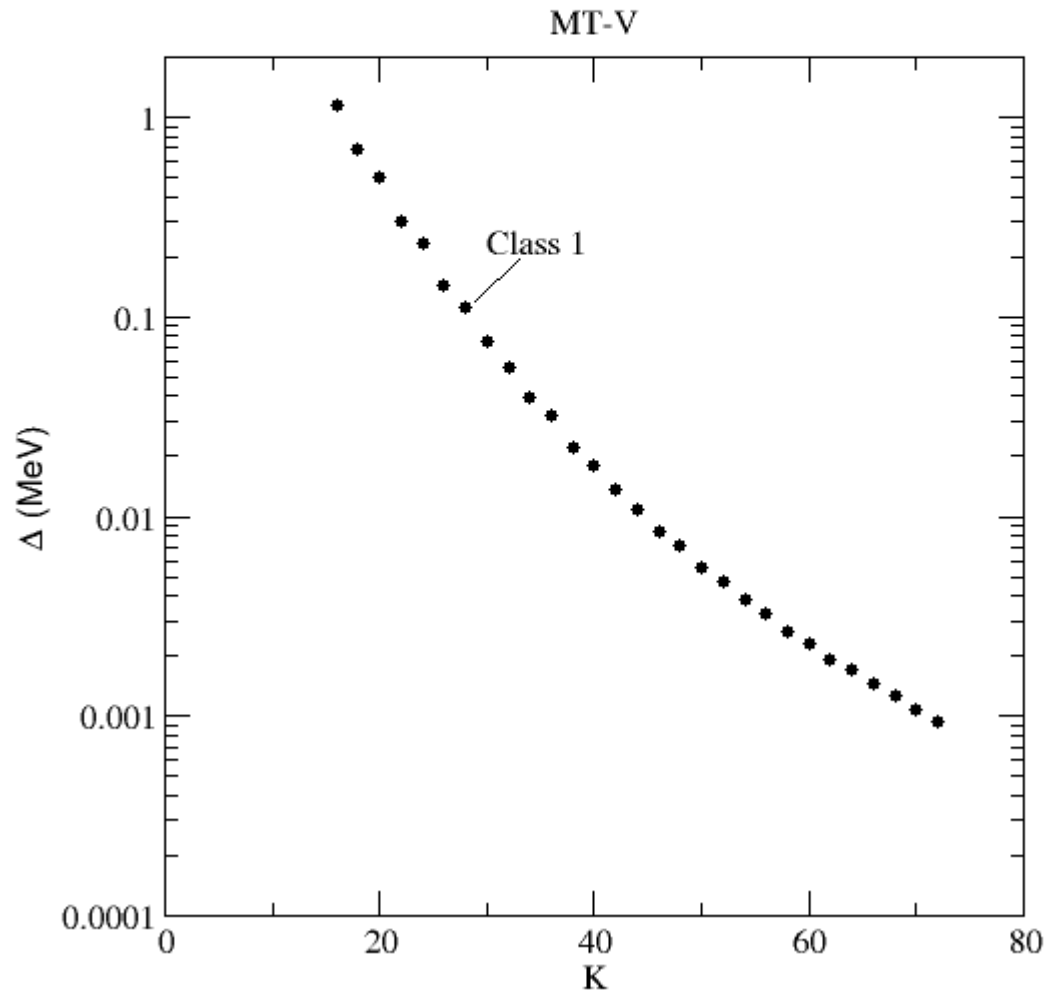
- Class 1: potential basis (PB)
- Class 2: extension of the PB
  - triplet basis TB



- Class 3: HH functions with  $l_1 + l_2 + l_3 = 2$
- Class 4: HH functions with  $l_1 + l_2 + l_3 = 4$
- Class 5: HH functions with  $l_1 + l_2 + l_3 = 6$
- Class 6: HH functions with  $T > 0$

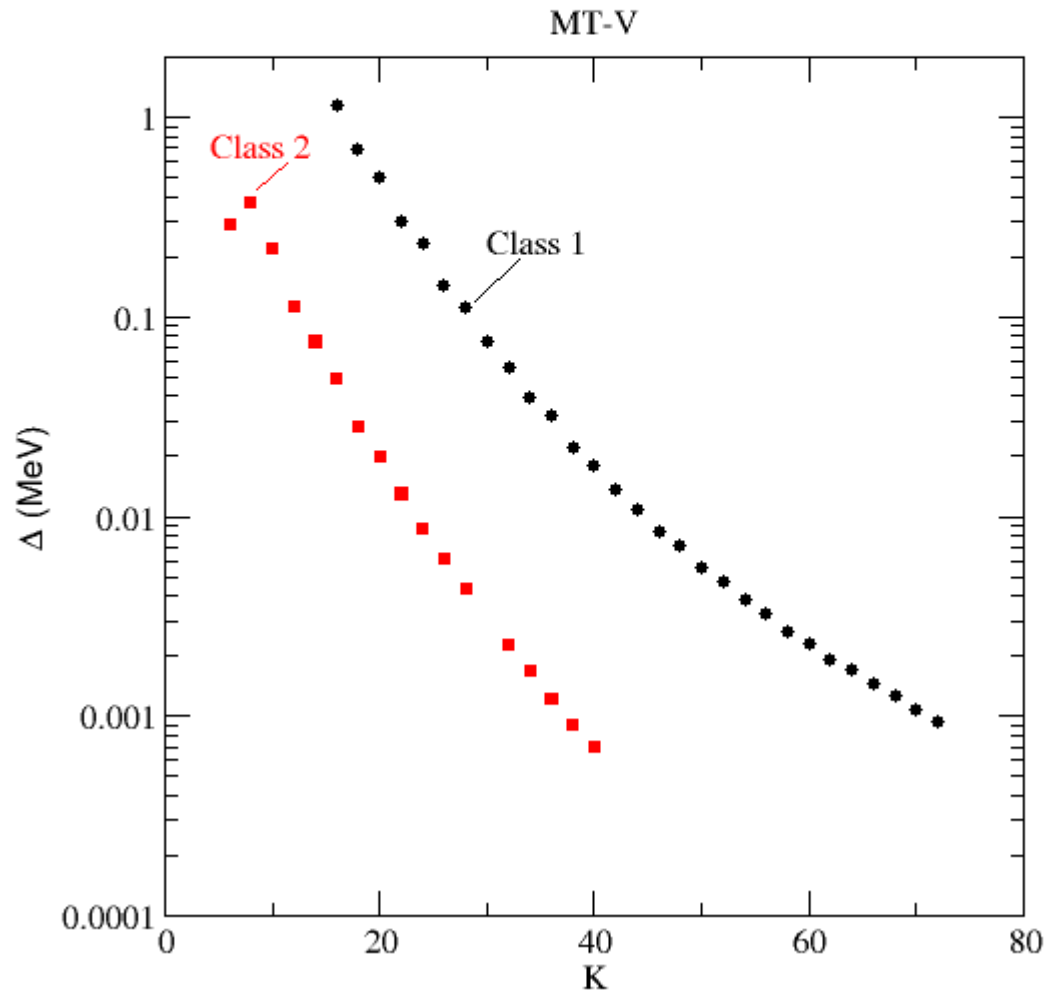
# Convergence

$$\Delta = BE(K + 2) - BE(K) \approx \frac{b}{K^p}, K \rightarrow \infty$$



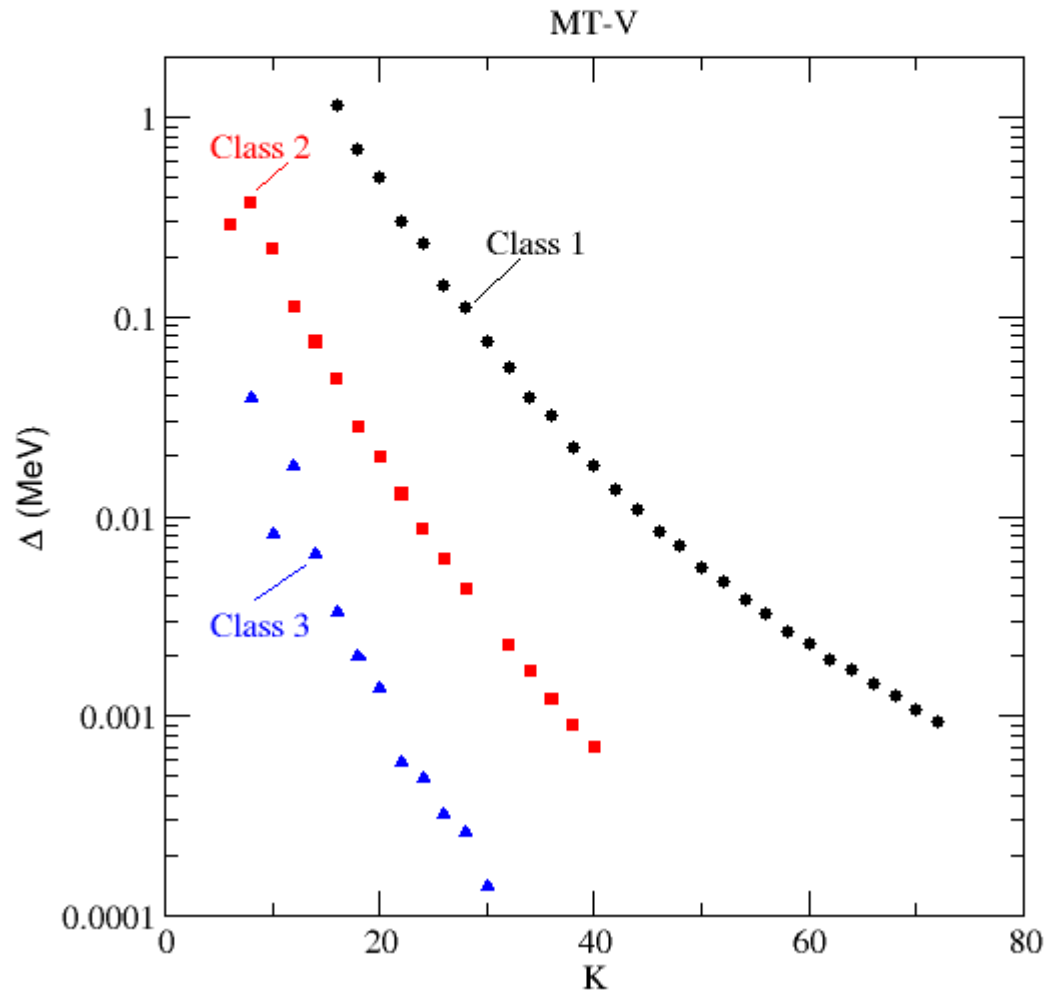
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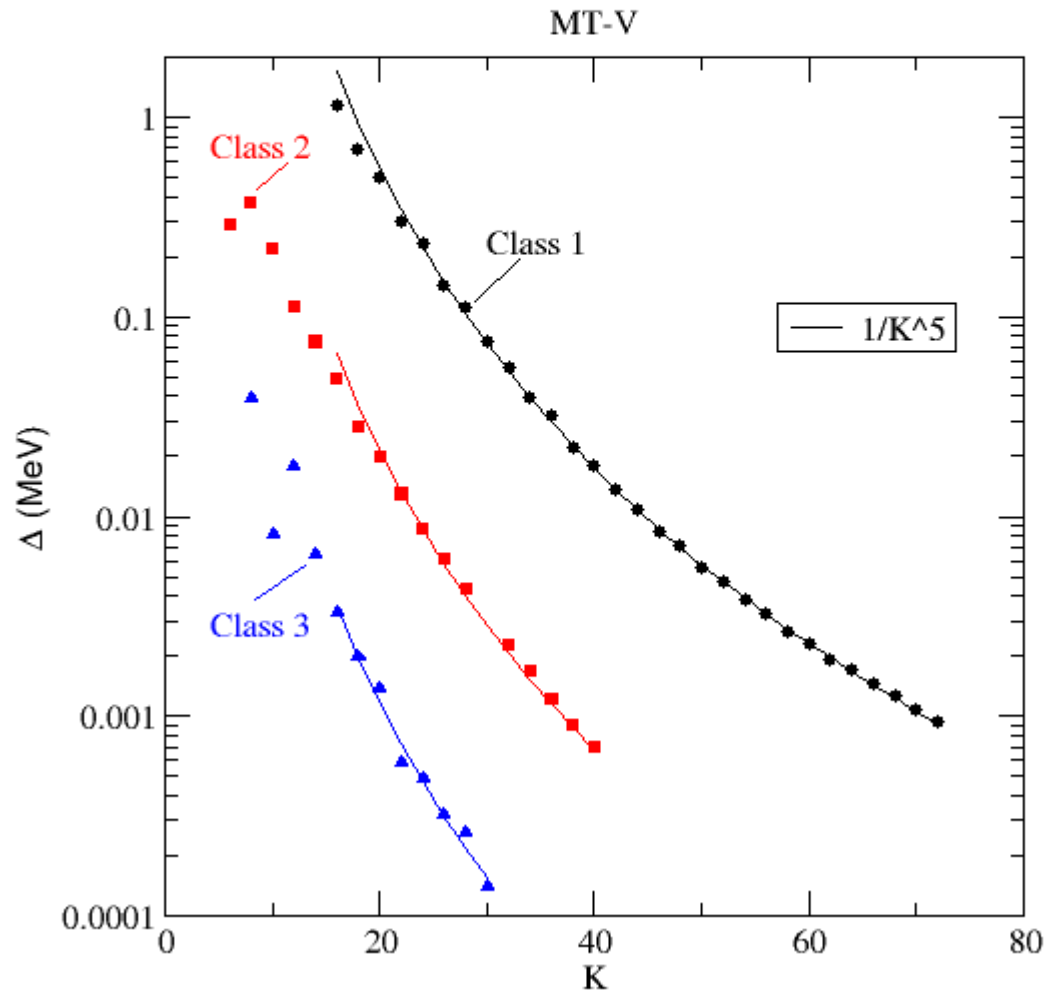
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# Convergence

$$\Delta = BE(K + 2) - BE(K) \approx \frac{b}{K^p}, K \rightarrow \infty$$



# $^3\text{H}$ binding energy

- Binding energy (MeV)

Method	BE (MeV)			$P_D$ (%)		$P_{T=3/2}$ (%)	
	HH	F	NCSM	HH	F	HH	F
AV18	7.618	7.621		8.511	8.510	.002	.002
CD-Bonn	7.998	7.997	7.99	7.02	7.02	.005	.005
N3LO	7.854	7.854	7.85(1)	6.31	6.32	.001	.001

F: Nogga et al, PRC 65, 054003 (2002); Deltuva et al, C68, 024005 (2003)

NCSM: Navratil & Barret, PRC 59, 014311 (2004)

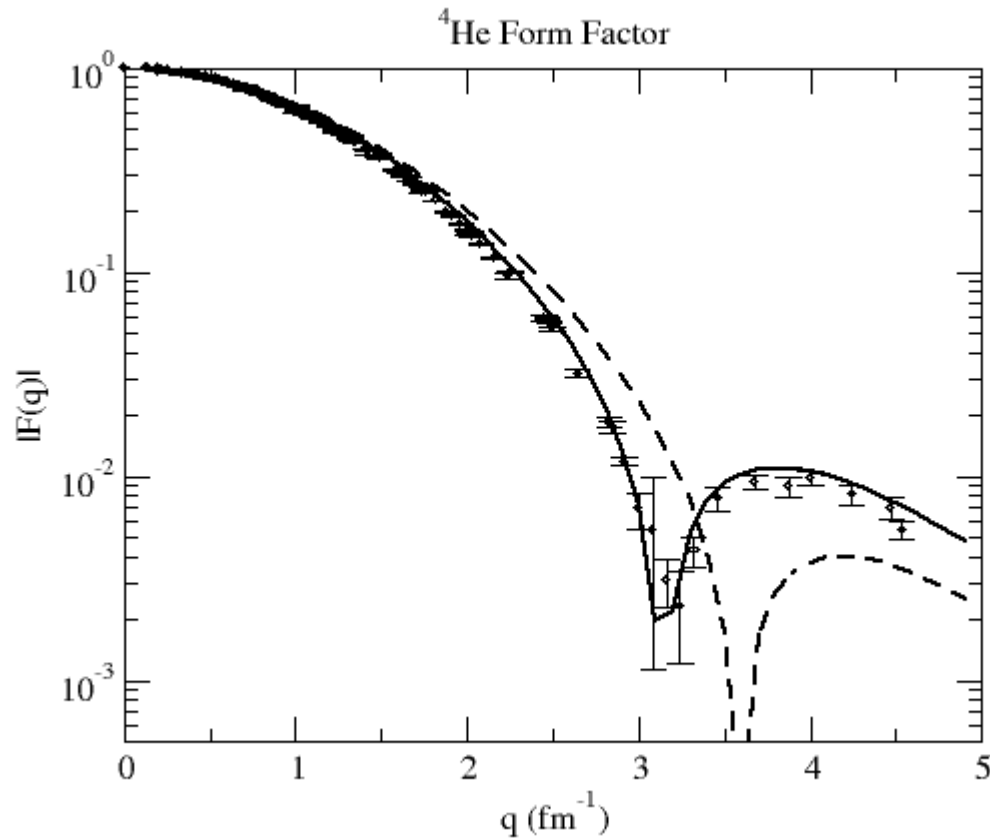
# $^4\text{He}$ binding energy

Method	BE (MeV)			$P_D$ (%)		$P_{T>0}$ (%)	
	HH	FY	NCSM	HH	FY	HH	FY
AV18	24.22	24.25		13.74	13.78	0.008	0.008
CD-Bonn	26.13	26.16		10.74	10.77	0.014	0.014
N3LO	25.38	25.37	25.36	9.29	9.29	0.006	0.006

FY-Q: Nogga et al, PRC 65, 054003 (2002)

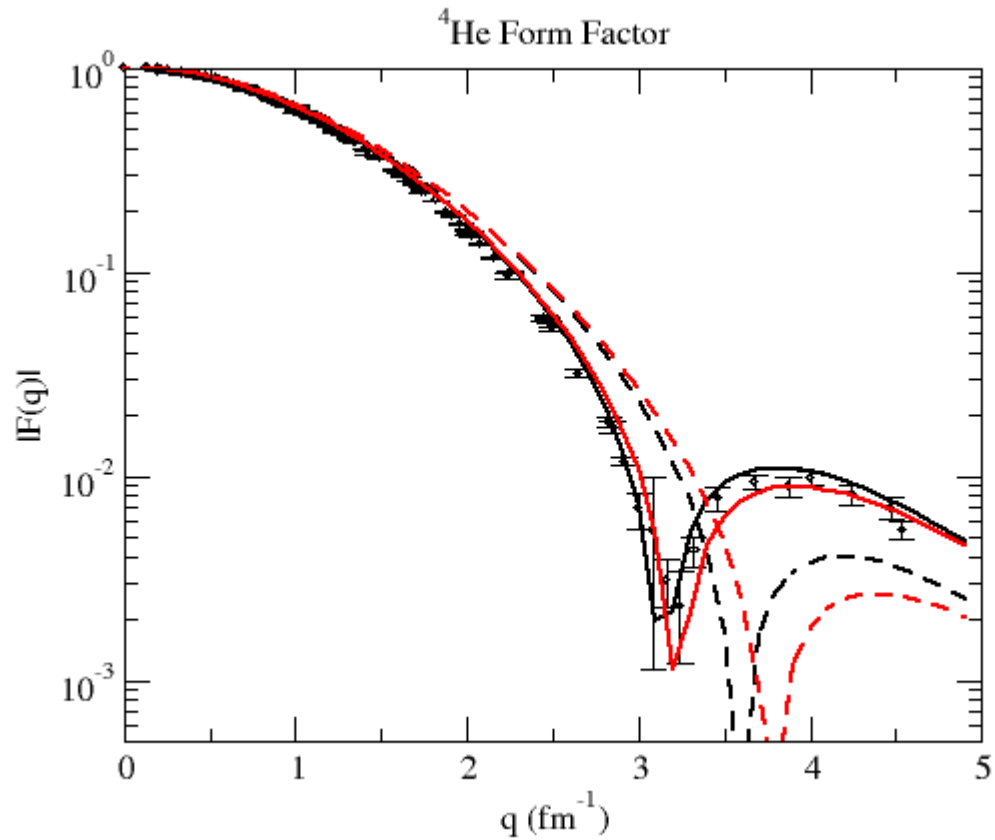
NCSM: Navratil & Barret, PRC 59, 014311 (2004)

# $^4\text{He}$ Form Factor



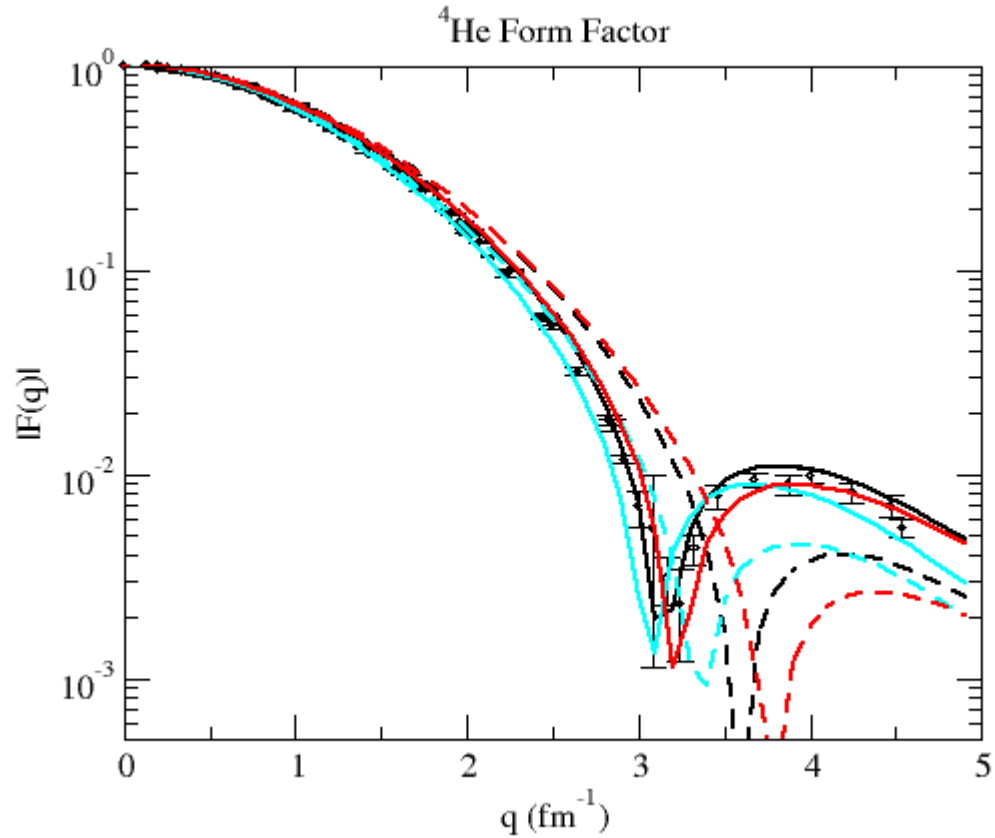
— AV18

# $^4\text{He}$ Form Factor



— AV18  
— CDBonn

# $^4\text{He}$ Form Factor

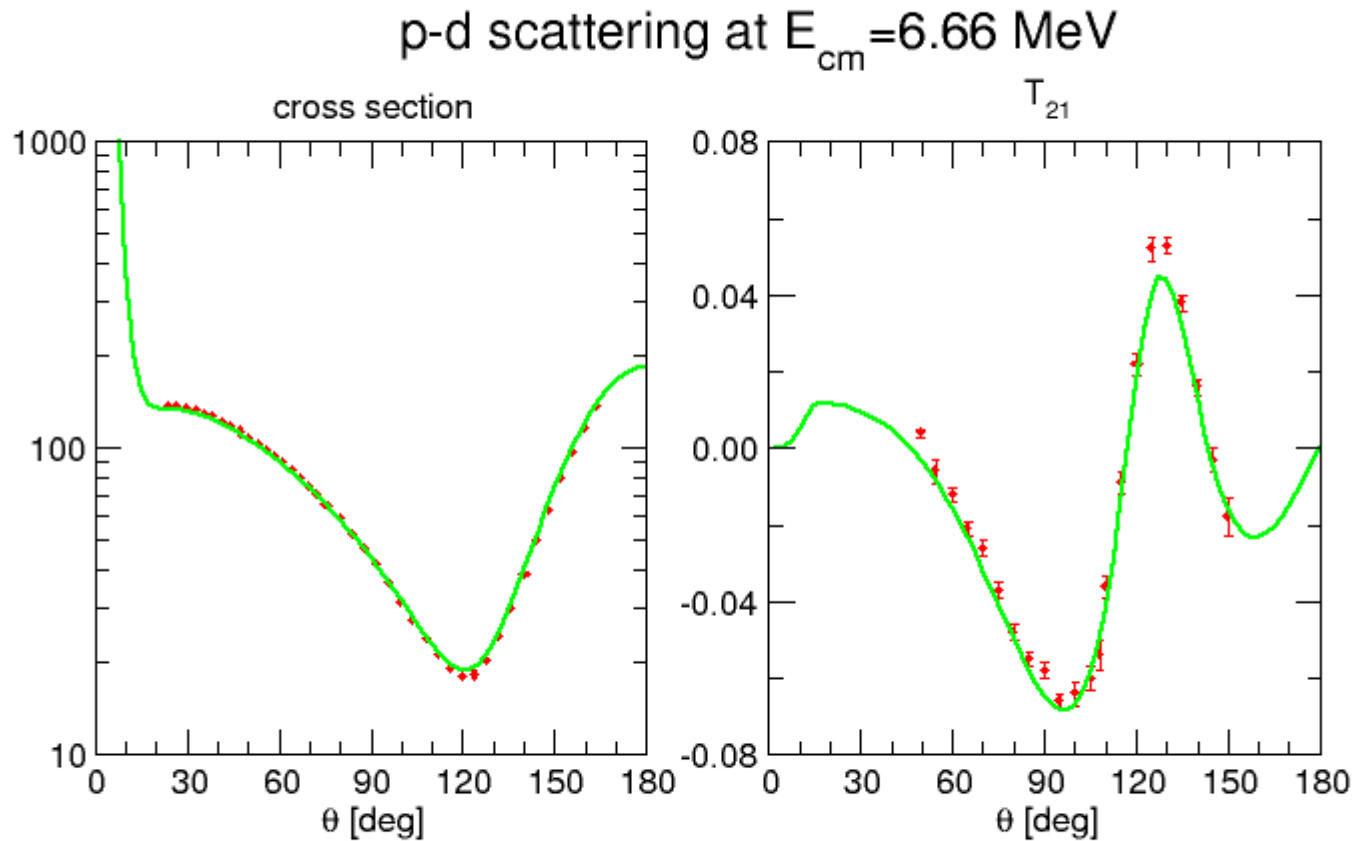


— AV18  
— CDBonn  
— N3LO

## A=3 scattering

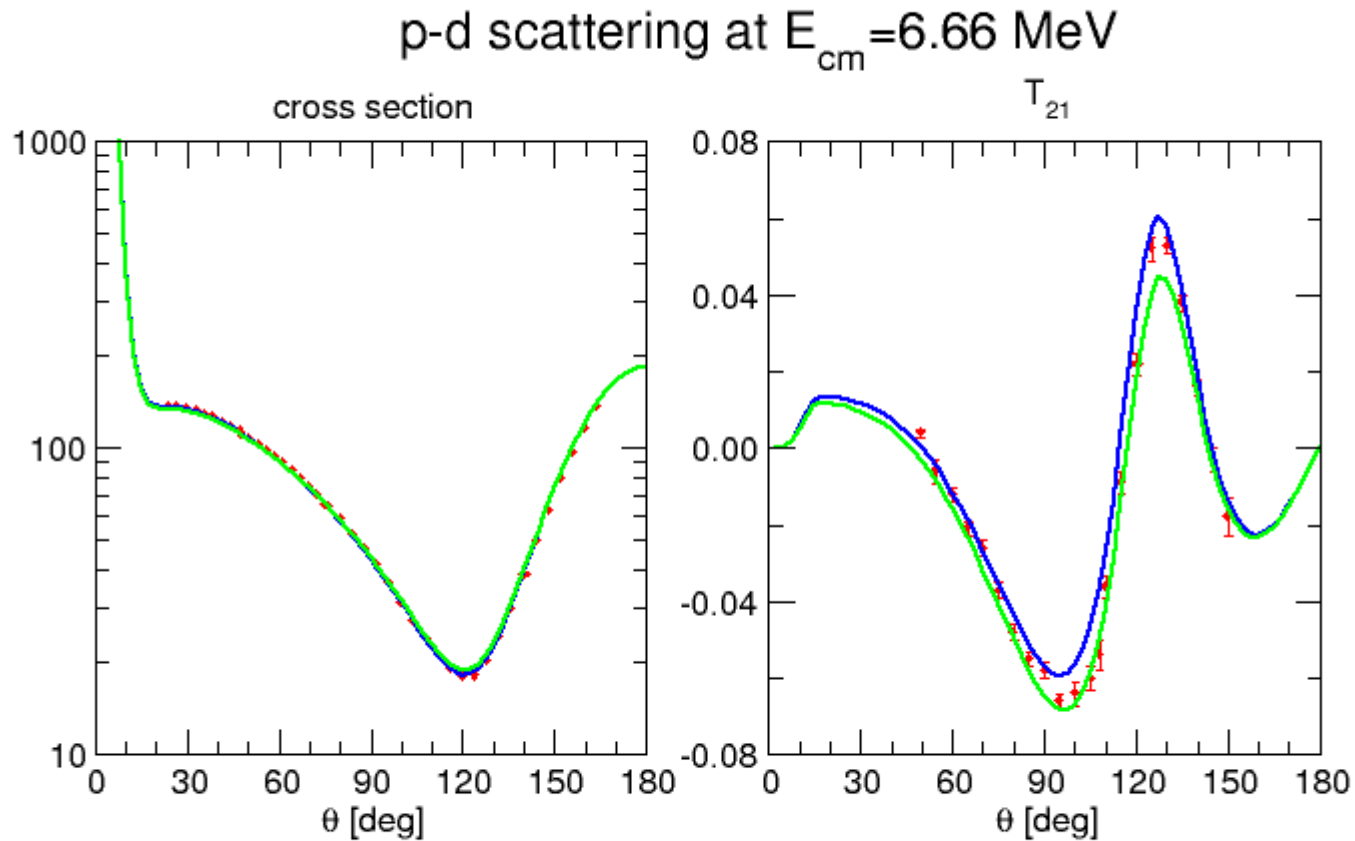
- N-d scattering
  - Elastic
  - Break-up
- $A_y$  problem
  
- 3N force effect

# Small effects of the 3N force (1)



**NN+C interaction only**

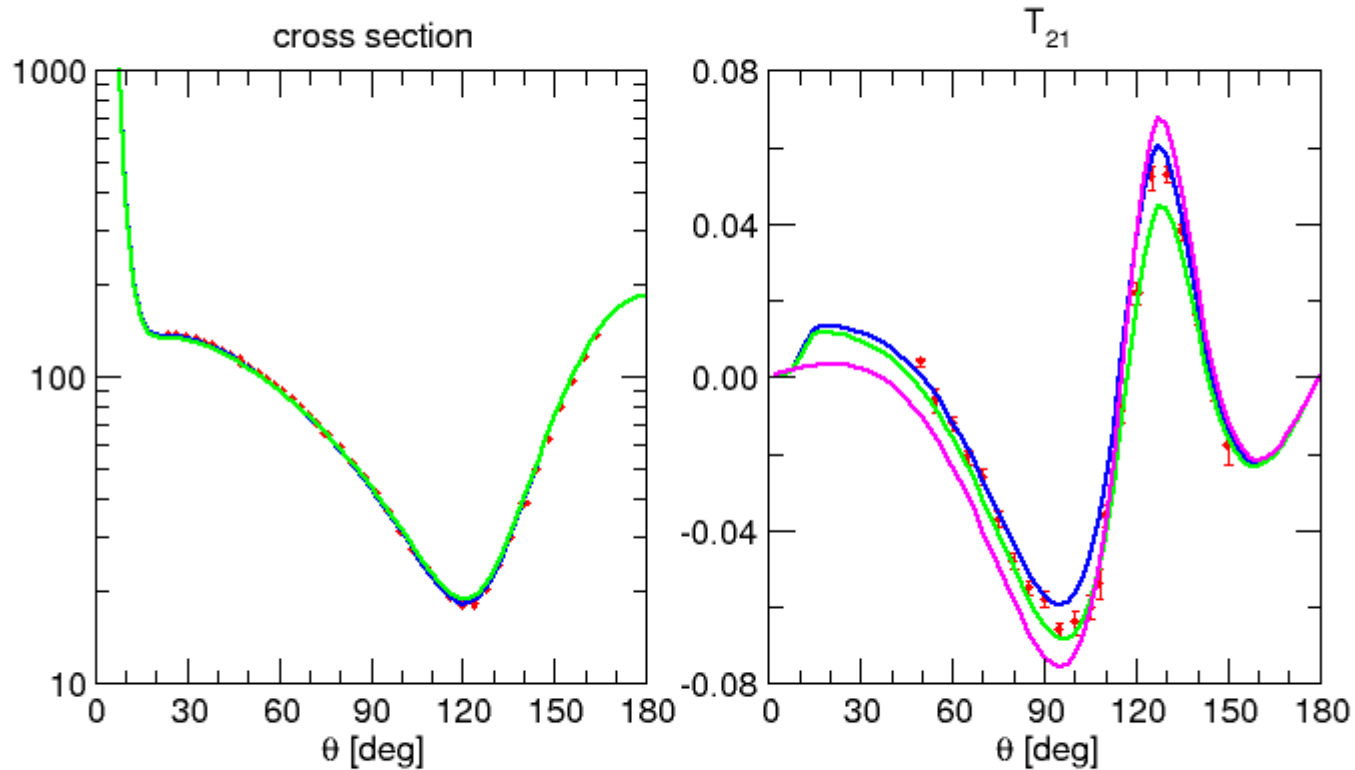
# Small effects of the 3N force (1)



**NN+C interaction only**  
**NN+C+NNN interaction**

# Small effects of the 3N force (1)

p-d scattering at  $E_{\text{cm}} = 6.66 \text{ MeV}$

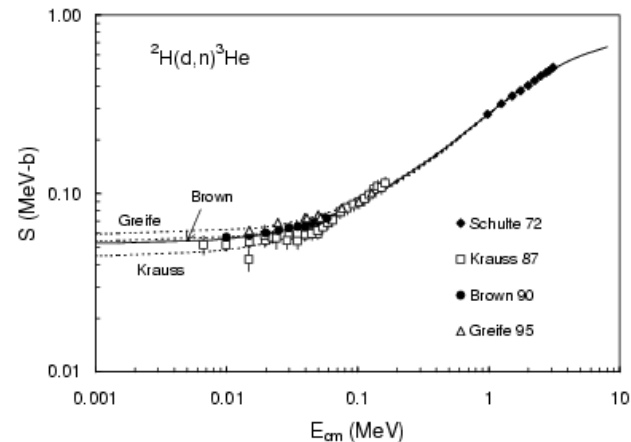


**NN+C interaction only**  
**NN+C+NNN interaction**

**NN interaction only –  
 no Coulomb**

# A=4 scattering

- $p\text{-}^3\text{He}$ ,  $p\text{-}^3\text{H}$ ,  $d\text{-}d$ , ...
  - 3N force effect?
- Fusion

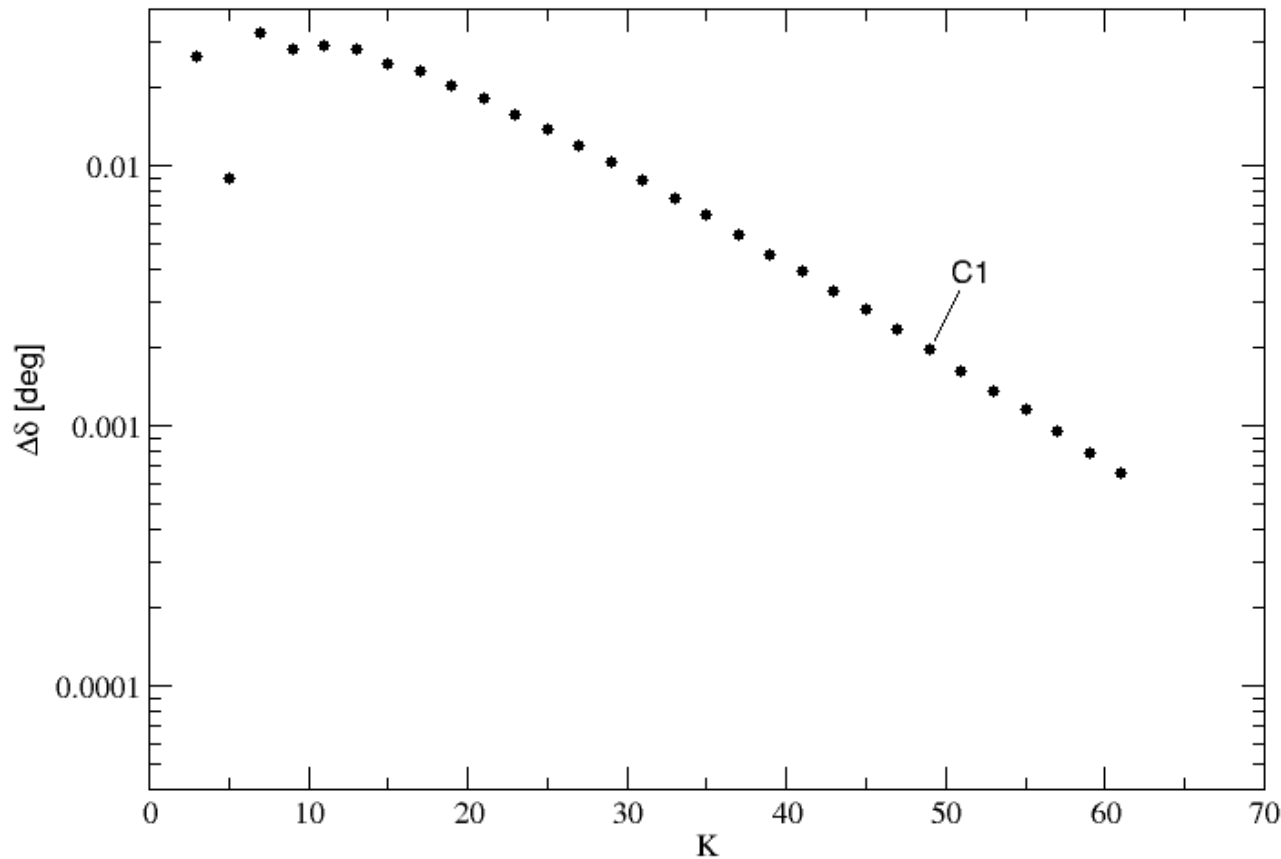


- Application of the HH method under progress for non-local potentials
- Other methods:
  - FY-R [Lazauskas & Carbonell, 2004]
  - FY-Q (AGS equations) [Fonseca, 1999]
  - Resonating Group Model [Pfitzinger, Hofmann & Hale, 2001]

# $n$ - $^3\text{H}$ elastic scattering: convergence

$0^-$  phase shift differences  
AV18 potential  $E_{\text{cm}}=0.40$  MeV

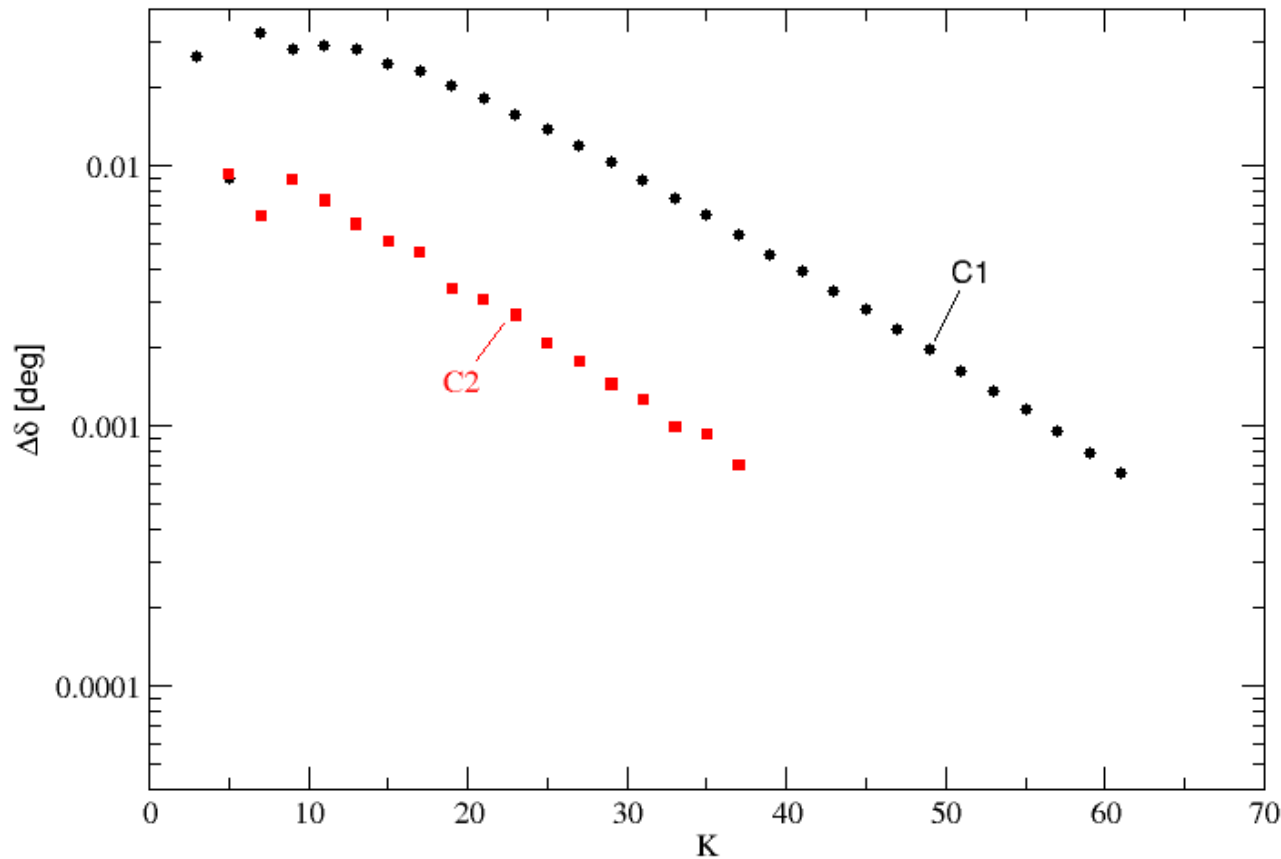
$$\delta(K+2) - \delta(K)$$



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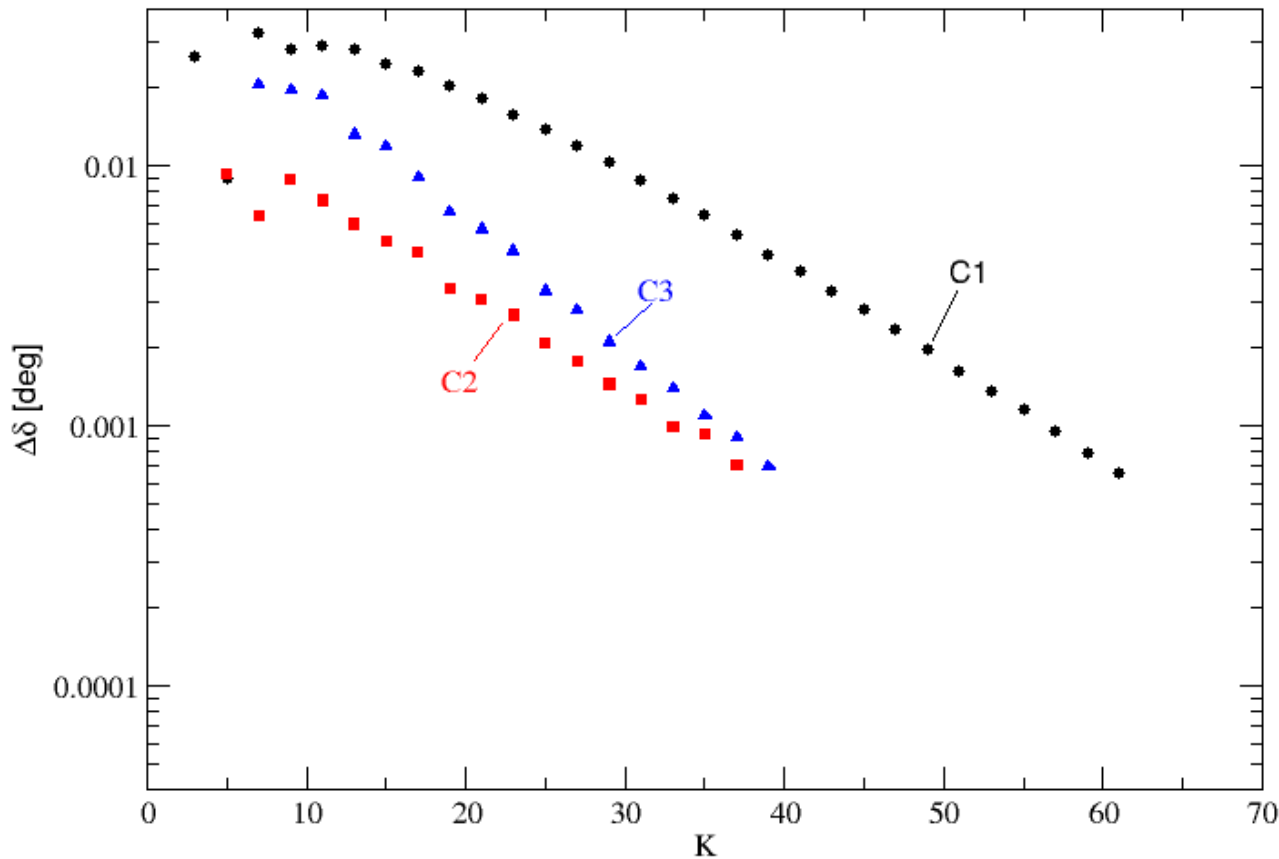
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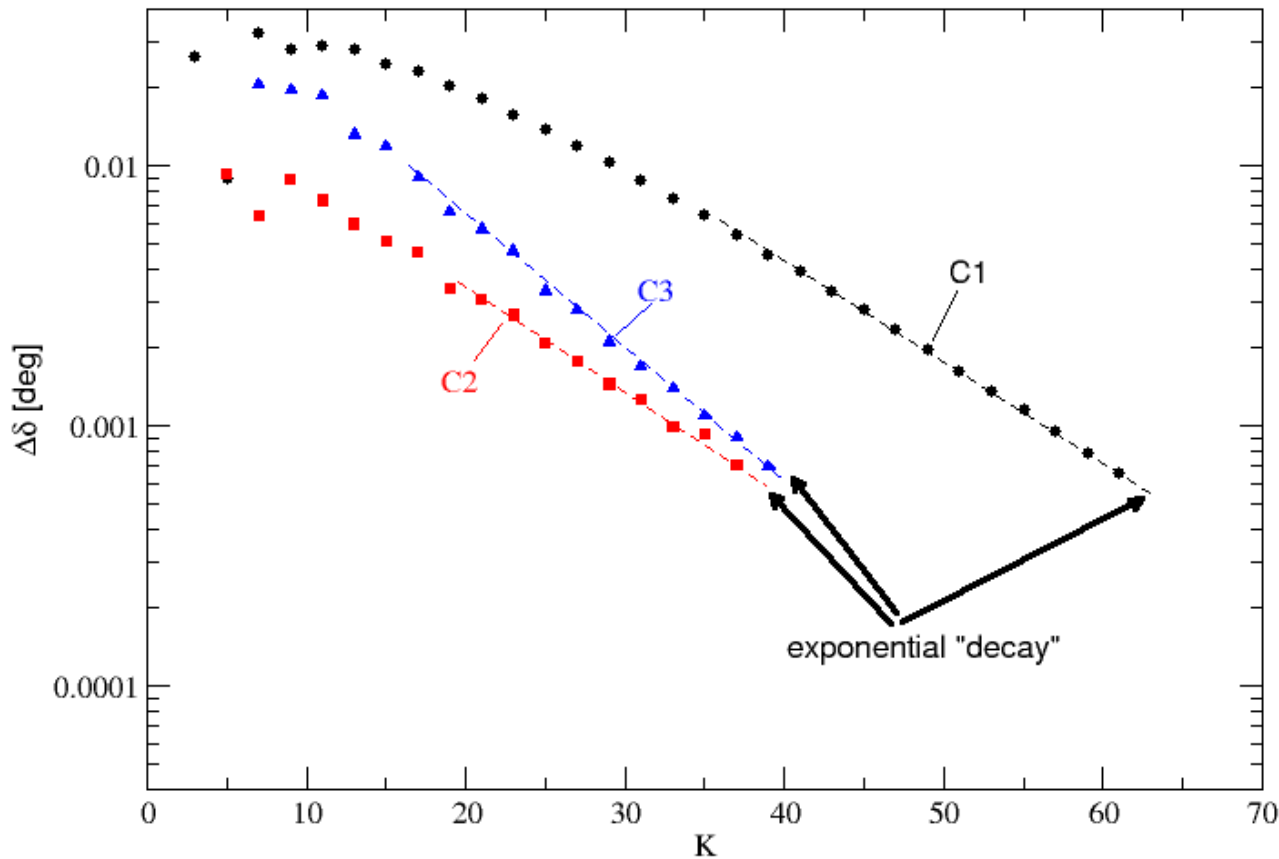
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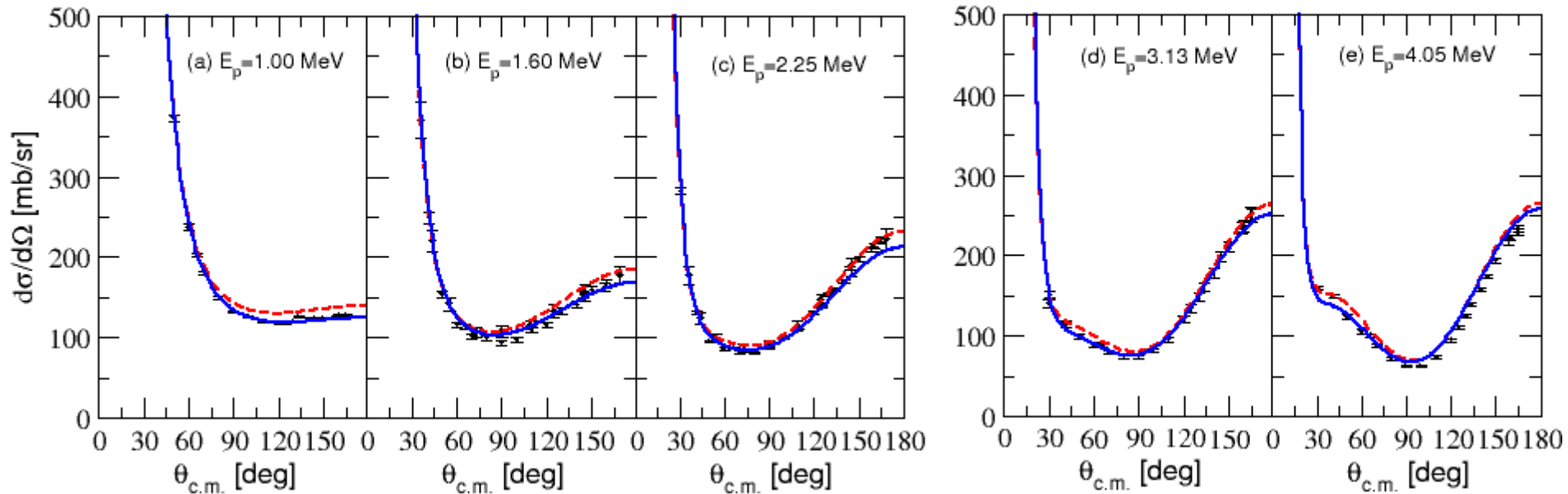
$$\delta(K+2) - \delta(K)$$



# Very small effects from the (standard) 3N forces $p-{}^3\text{He}$ elastic scattering



Unpolarized cross section



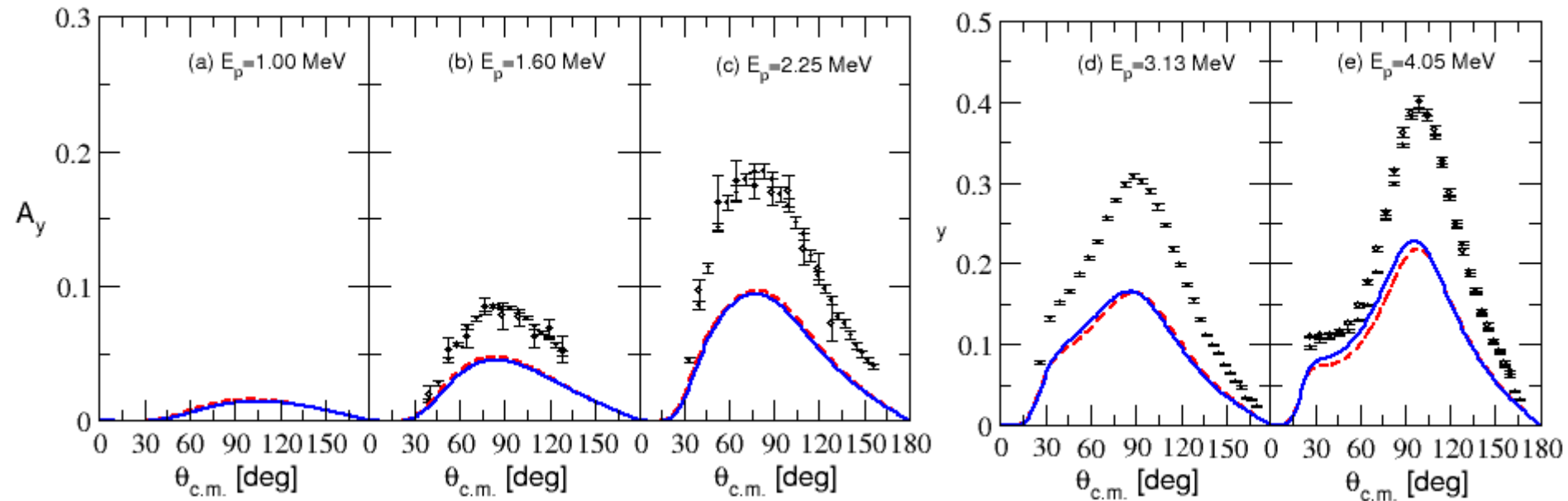
----- AV18

———— AV18+UIX

# Very small effects from the (standard) 3N forces $p\text{-}^3\text{He}$ elastic scattering



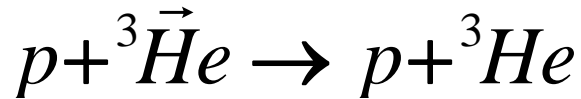
Analyzing power



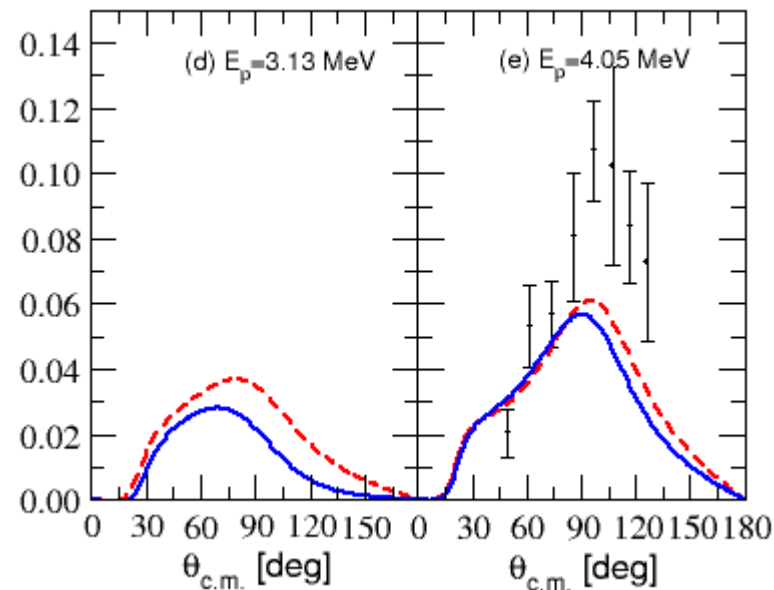
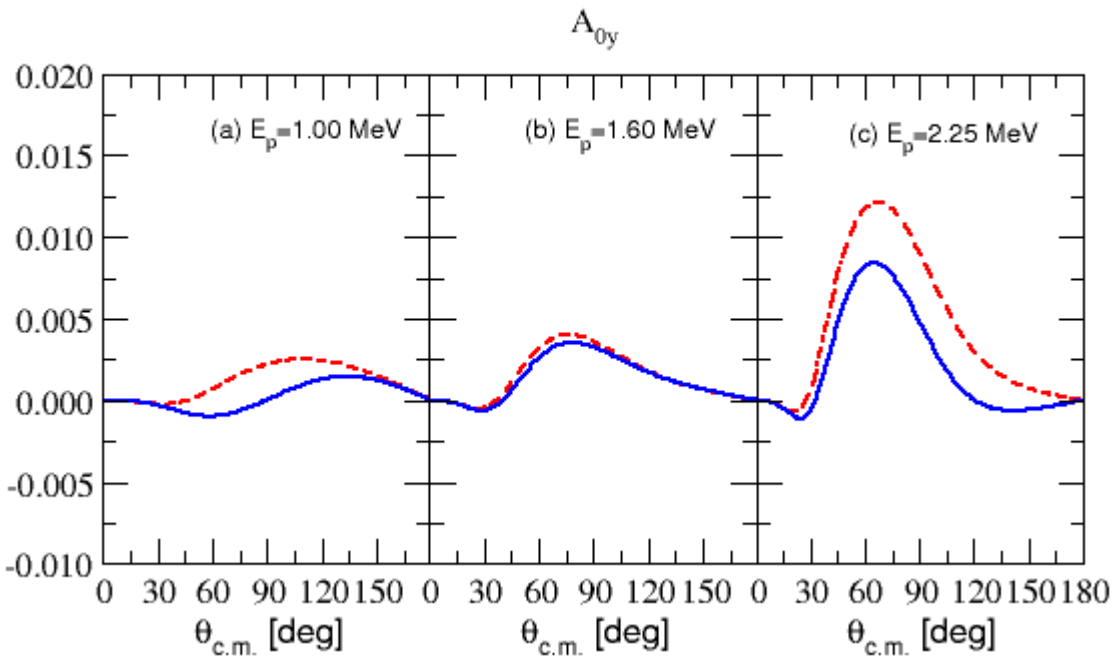
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Analyzing power



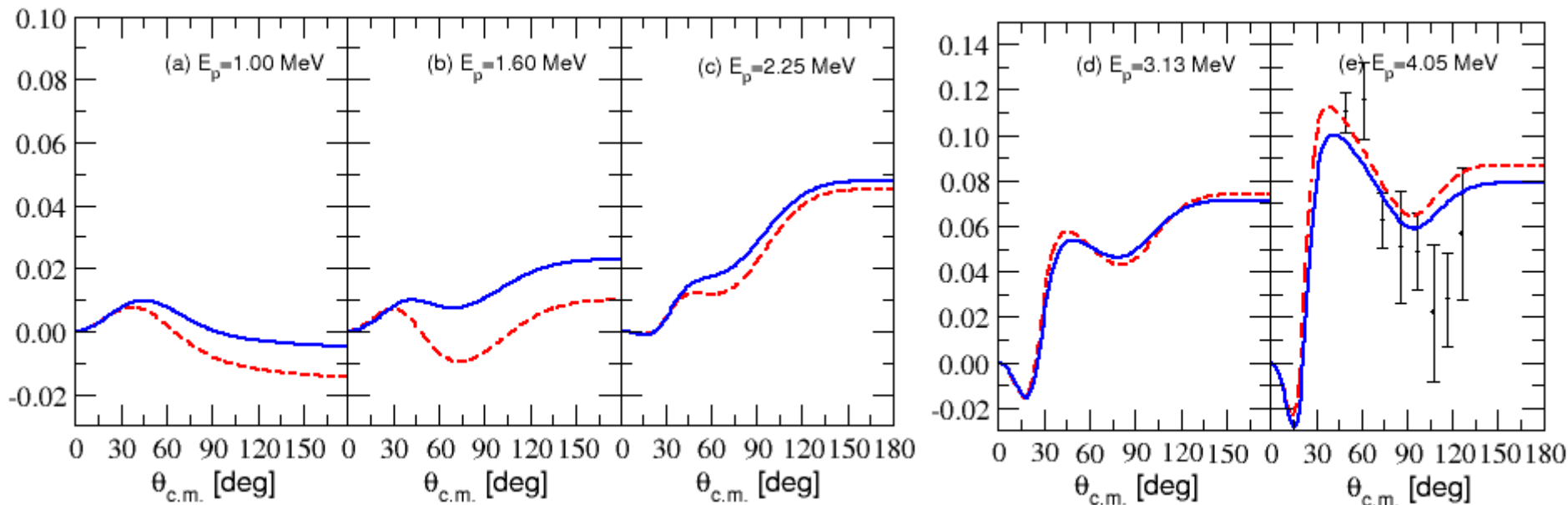
----- AV18

———— AV18+UIX

# $p$ - ${}^3\text{He}$ elastic scattering



Double analyzing power



----- AV18

———— AV18+UIX

# Conclusions

- Study of the NN+3N interaction in  $A=3,4,\dots$  systems
- Interest:
  - Contact with QCD (charge symmetry breaking)
    - ❖  $d+d \rightarrow {}^4\text{He} + \pi^0$
  - Study of reaction of astrophysical interest (solar models, BBN, ...)
  - Electron scattering
- Our group: development of the HH method can in momentum space & for non local potentials
  - Scattering with momentum space potentials

# HH & CHH method (1)

- Jacobi vectors  $\vec{\xi}_1, \vec{\xi}_2, \vec{\xi}_3$
- Hyperangular variables

$$\rho, \Omega = (\hat{\xi}_1, \hat{\xi}_2, \hat{\xi}_3, \phi_2, \phi_3)$$

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- Kinetic energy

$$T \rightarrow -\frac{\hbar^2}{2M} \left( \frac{\partial^2}{\partial \rho^2} + \frac{8}{\rho} \frac{\partial}{\partial \rho} - \frac{\Lambda^2(\Omega)}{\rho^2} \right)$$

- Hyperspherical Harmonics

$$\Lambda^2(\Omega) Y_{K,\nu}(\Omega) = -K(K+7) Y_{K,\nu}(\Omega)$$

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# HH and CHH method (2)

- Bound state

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- Boundary conditions

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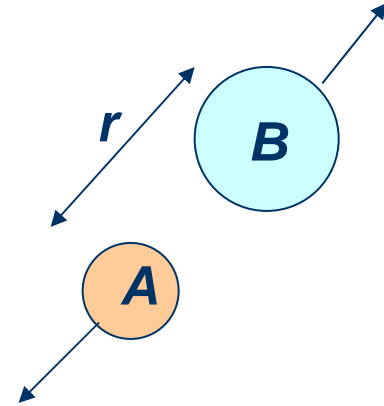
- Scattering states

$$\Psi = \sum_{K,\mu} F u_{K,\mu}(\rho) Y_{K,\mu}(\Omega) + \sum_{A,B} \Phi_A \Phi_B \sin(q_{AB} r + \delta_\ell)$$

- Kohn variational principle

- Boundary conditions

$$u_{K,\mu}(\rho) \rightarrow \frac{e^{iQ\rho}}{\rho^{(3A-1)/2}} \quad \rho \rightarrow \infty$$



## 3N interaction

- ${}^3\text{H}$ ,  ${}^3\text{He}$ , ... binding energies
- N-d cross section
- Too small splitting of  ${}^5\text{He}$  states
- Saturation point of nuclear matter
- Etc, etc

- Fujita-Miyazawa [1957]

- Commonly used 3N potentials

- Urbana (UR), Tucson-Melbourne (TM), Brazil (BR)
  - One parameter fitted to reproduce the  ${}^3\text{H}$  binding energy

- New proposed models

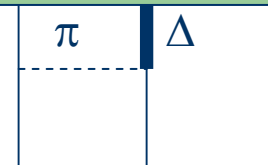
- Illinois ( $3\pi$  exchanges) [Pieper et al, 2001]

- Chiral symmetry

- ❖ [Friar et al, 1999]

- ❖ [Epelbaum et al, 2002]

- $\pi$ - $\rho$  &  $\rho$ - $\rho$  exchanges [Coon & Peña, 1993]

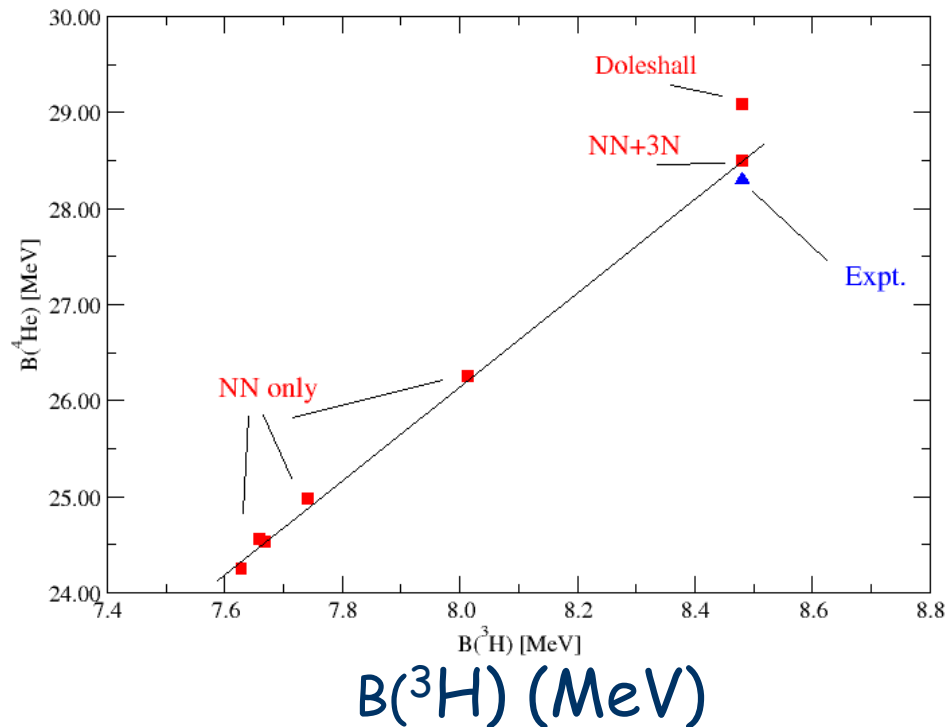


# "Tjon" line

- $\alpha$ -particle vs.  ${}^3\text{H}$  binding energy

- ❖ [Nogga et al, 2002, Lazauskas & Carbonell, 2004, MV et al, 2004]

$B({}^4\text{He})$   
(MeV)



# Dynamics of few-nucleon systems

- Technical methods
  - Faddeev-Yakubovsky Equations
  - AGS equations
  - Green Function Monte Carlo (GFMC)
  - Variational methods
    - ❖ Gaussian basis
    - ❖ Hyperspherical Harmonics (HH)
    - ❖ RGM
  - Effective methods
    - ❖ No-core Harmonic Oscillator method
    - ❖ EIH
- [See, for example, Carlson & Schiavilla, RMP 70, 743 (1998)]
- 3N bound and scattering state problems can be now (almost) "routinely" solved
  - [See, for example, Gloeckle et al, Phys. Rep. 274, 107 (1996)]
- Accurate study of e.m. and weak transition

# Further developments

- Effective methods

Finite basis  $\mathcal{P}$

$$H\psi = E\psi \Rightarrow$$

$$H_P\psi(P) = E_P\psi(P)$$

$E_P$  converges to  $E$  very rapidly

- *HO basis* [Navratil & Barrett (1999)]

- *HH basis* [Barnea et al., (2000)]

- Relativity

- How much is important?

- Retardation in pion exchange is claimed to explain the  $A_y$  puzzle in N-d scattering

- [Canton & Shadow, 2000]

- Relativistic dynamics

- Instant form

- Front form

- Point form

- [Keister & Polizou, 1991,...]

# HH method: 3NF

- Truncation of the 3NF

$$\tilde{W}(i, j, k) = |P_K^+\rangle W(i, j, k) \langle P_K|$$

$$\langle P_K | HH_{K'} \rangle = 0 \text{ if } K' > K$$

K	B (MeV)	$\langle T \rangle$ (MeV)
20	27.351	109.71
24	27.366	109.67
30	27.372	109.65
34	27.374	109.64

AV18+UIX

Test calculation C1-C3

See also:

Barnea et al, nucl-  
th/0404086

$$\Lambda = l_1 + l_2 + l_3$$

## Contribution of the different classes

- AV18 potential

Class	BE (MeV)
PB	16.12
PB+TB	20.03
$\Lambda=2$	23.39
$\Lambda=4$	24.17
$\Lambda=6$	24.19
$T>0$	24.21

- AV18+UR potential

Class	BE (MeV)
PB	16.38
PB+TB	20.92
$\Lambda=2$	27.37
$\Lambda=4$	28.41
$\Lambda=6$	28.44
$T>0$	28.46

Extrapolation using the  $(1/K)^p$  law:  $\Delta B \approx 0.01$  MeV

(\*) Extrapolated

## $^4\text{He}$ binding energy - benchmark 2

- AV18 potential

Method	BE (MeV)	$\langle T \rangle$ (MeV)
HH (*)	24.22	97.84
FY-R	24.22	
FY-Q	24.23	97.80

- AV18+UR potential

Method	BE (MeV)	$\langle T \rangle$ (MeV)
HH (*)	28.47	113.30
FY-Q	28.50	113.21
GFMC	28.34(4)	

FY-R: Lazauskas & Carbonell (2004)

GFMC: Wiringa et al, PRC 62, 014001 (2000)

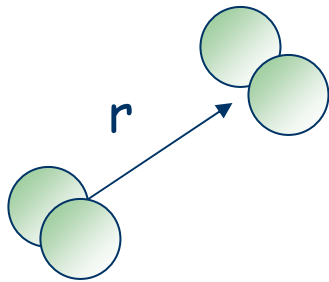
FY-Q: Nogga et al, PRC 65, 054003 (2002)

# $^4\text{He}$ asymptotic normalization constants

- d-d separation

$$\beta = \sqrt{2m(B_4 - 2B_2)}$$

$$\Psi \rightarrow \sum_{L=0,2} a_L \left\{ \left[ \Phi_d \Phi_d \right]_L Y_L(\hat{r}) \right\} \frac{e^{-\beta r}}{r}$$



$$D_2 \approx \frac{a_2}{\beta^2 a_0}$$

Extracted from  
( $\alpha, dd$ ) experiments  
[Weller et al, 1986]

	$D_2$
HH (AV18+UR)	-0.11
Adhikari et al. 1994	-0.12
Expt.	$-0.2 \pm 0.05$

# Isopin $T > 0$ components in the ${}^4\text{He}$ w.f.

- Energy gain 20 keV

AV18 potential		
Method	$P_{T=1}$ (%)	$P_{T=2}$ (%)
HH	0.0028	0.0052
FY-Q	0.003	0.005

Pot.	$P_{T=1}$ (%)	$P_{T=2}$ (%)
AV18	0.0028	0.0052
NIJ-II	0.0016	0.0074
AV18+ UIX	0.0025	0.0050

- Origin of the mixing

Hamilt.	$10^3 \times P_{T=1}$ (%)	$10^3 \times P_{T=2}$ (%)
$H_0$	0	0
+Coul	1.5	0.1
+CSB	3.0	4.9
+e.m. + $\Delta m$	2.8	5.2