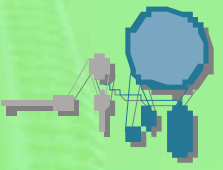


Spectral function (and spectroscopic factors) of finite nuclei

C. Barbieri

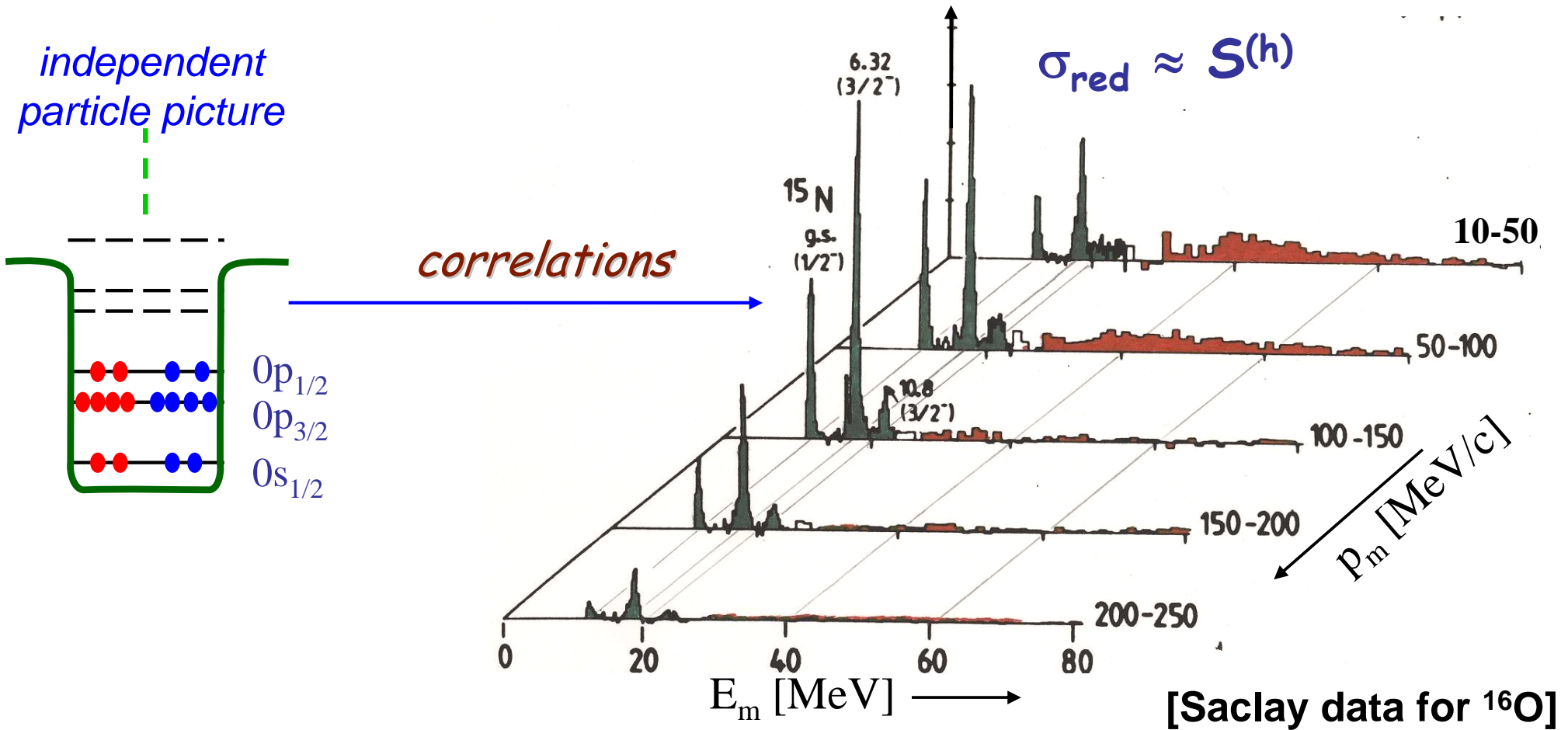
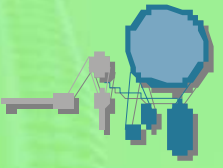


Collaborators: W. H. Dickhoff, D. Van Neck, D. Rohe,
L. Lapikás, I. Sick, M. Hjorth-Jensen, C. Giusti,
F. D. Pacati, G. Martínez-Pinedo, K. Langanke



- nuclear spectral function
- Self-consistent Green's function (SCGF) method and Faddeev-RPA expansion
- Results for spectroscopic factors
- (time permitting: applications to atoms)

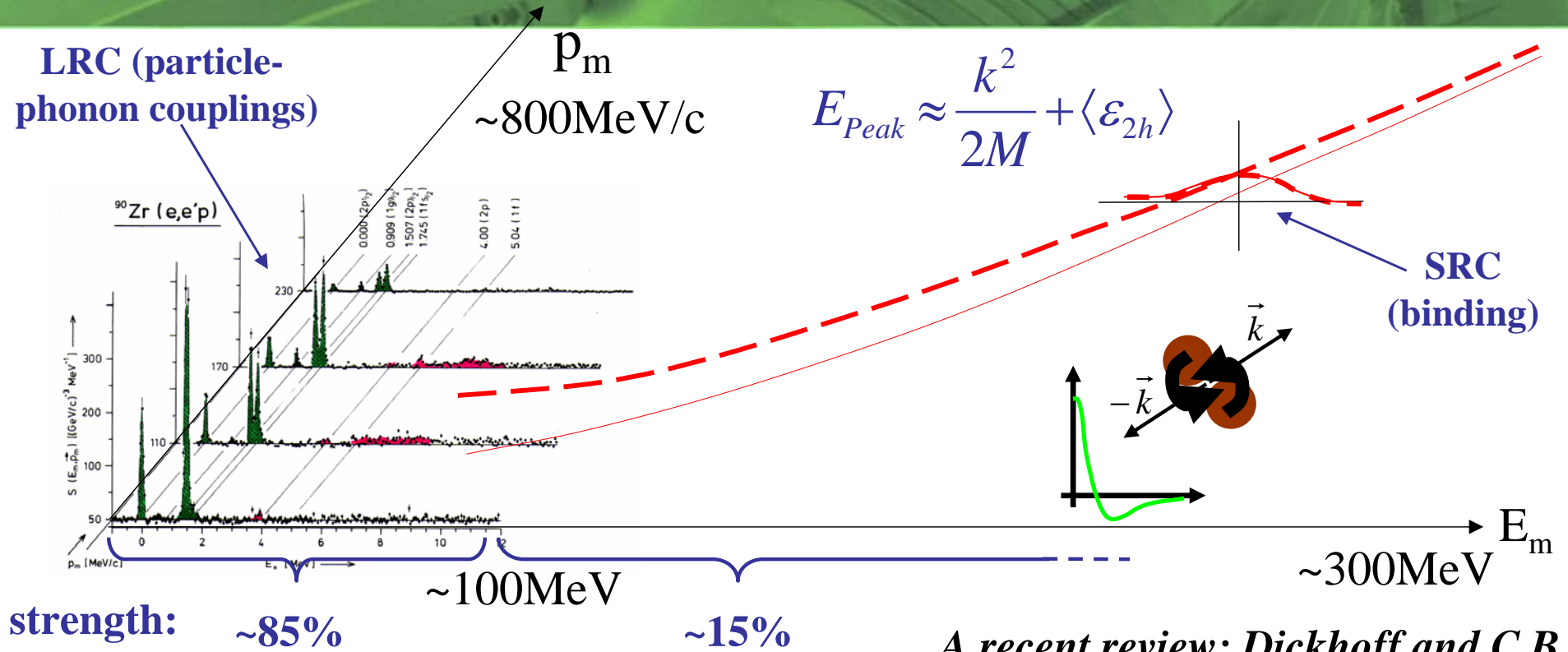
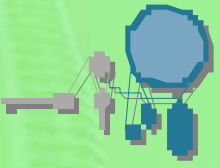
One-hole spectral function -- example



$$S^{(h)}(p_m, E_m) = \sum_n |\langle \Psi_n^{A-1} | c_{p_m}^- | \Psi_0^A \rangle|^2 \delta(E_m - (E_0^A - E_n^{A-1}))$$

→ distribution of momentum (p_m) and energies (E_m)

Complete distribution of the nuclear strength

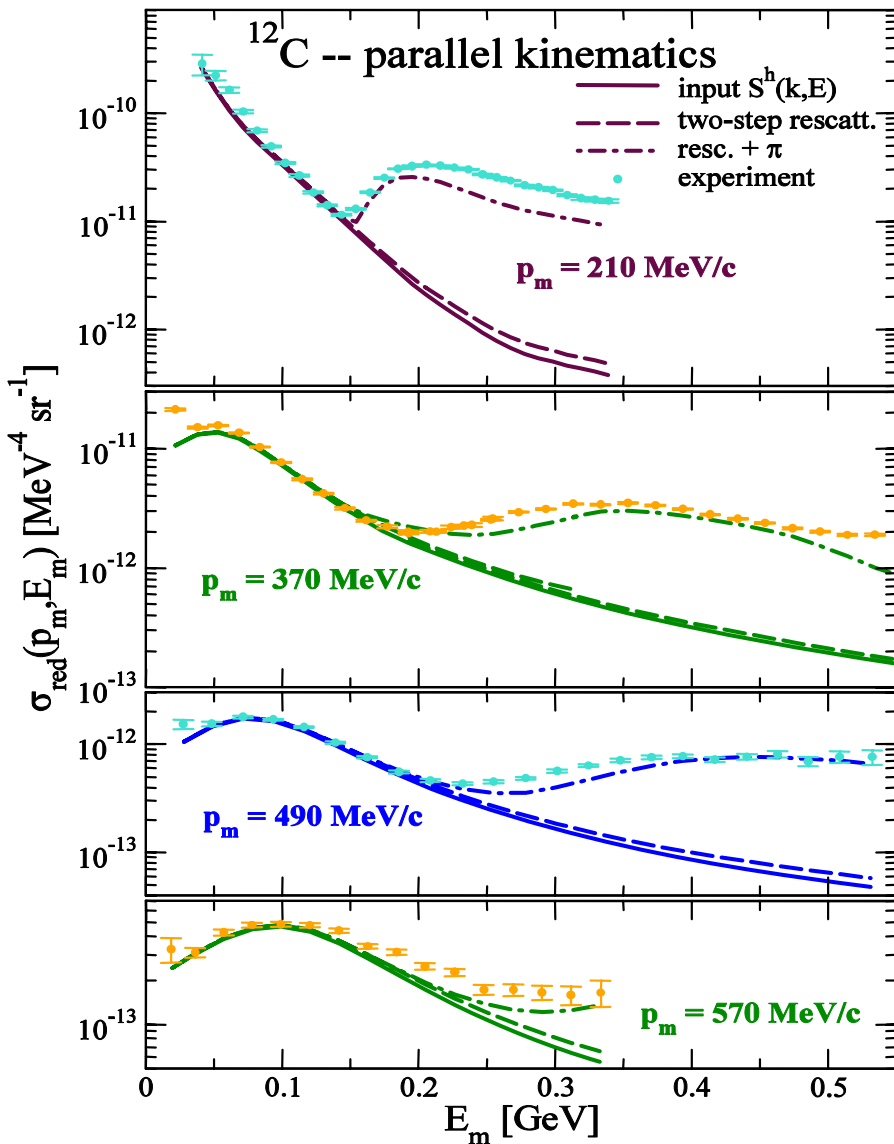
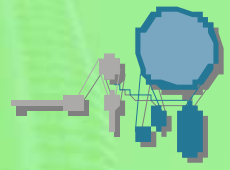


A recent review: *Dickhoff and C.B., Prog. Part. Nucl. Phys. 52 (2004) 337.*

Short range correlated region:

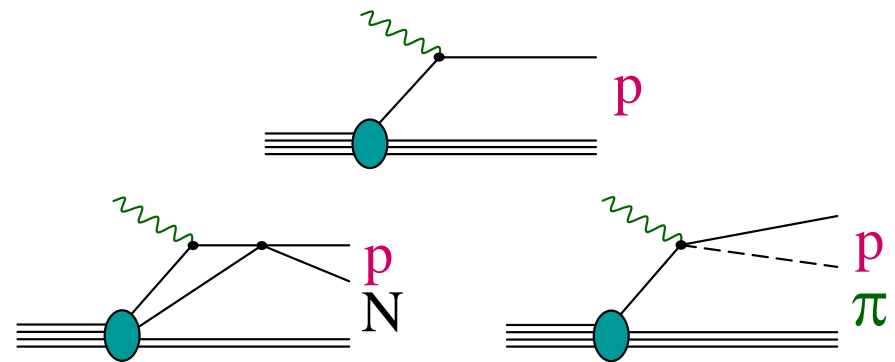
- a fraction of the total number of nucleons:
 - $\sim 10\%$ in light nuclei (VMC, FHNC, Green's function on light nuclei)
 - $\sim 15\%$ in heavy systems (CBF, Green's function on nuclear matter)
- can explain up to $2/3$ of the binding energy(!) [see eg. PRC51, 3040 ('95)]

High missing energy/momentum strength -- SRC



Observation of high momentum components:

- signature of SRC
- possible in parallel kinematics
- parallel kinematics (and ^{12}C) described in a MC cascade model + delta excitation



Measurement:

D. Rohe, et. al, Phys. Rev. Lett. 93, 182501 (2004)

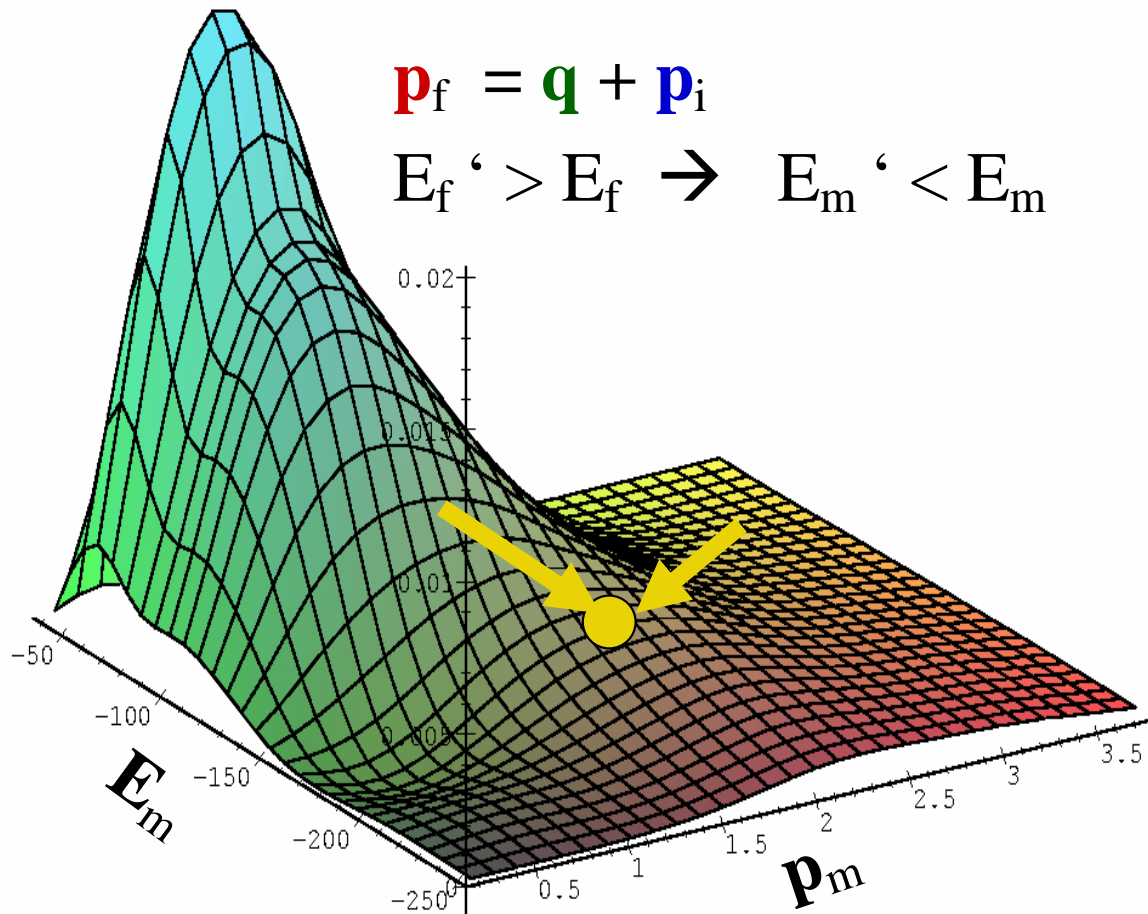
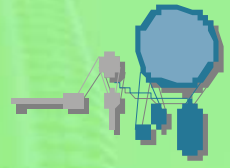
FSI:

CB, L. Lapikás, Phys. Rev. C70, 054612 (2004)

CB, Nuclear Physics B (Proc. Suppl.) 159 (2006) 174

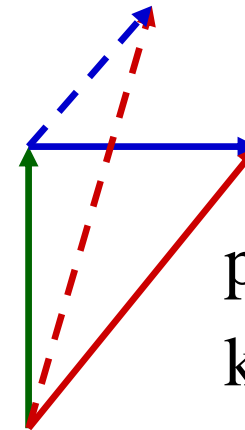


Dependence of rescatt. on kinematics

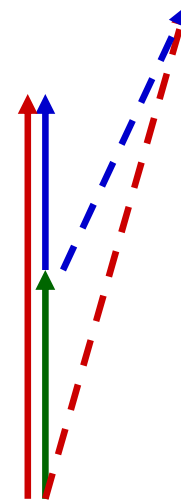


$$\mathbf{p}_f = \mathbf{q} + \mathbf{p}_i$$

$$E_f' > E_f \rightarrow E_m' < E_m$$



perpendicular
kinematics



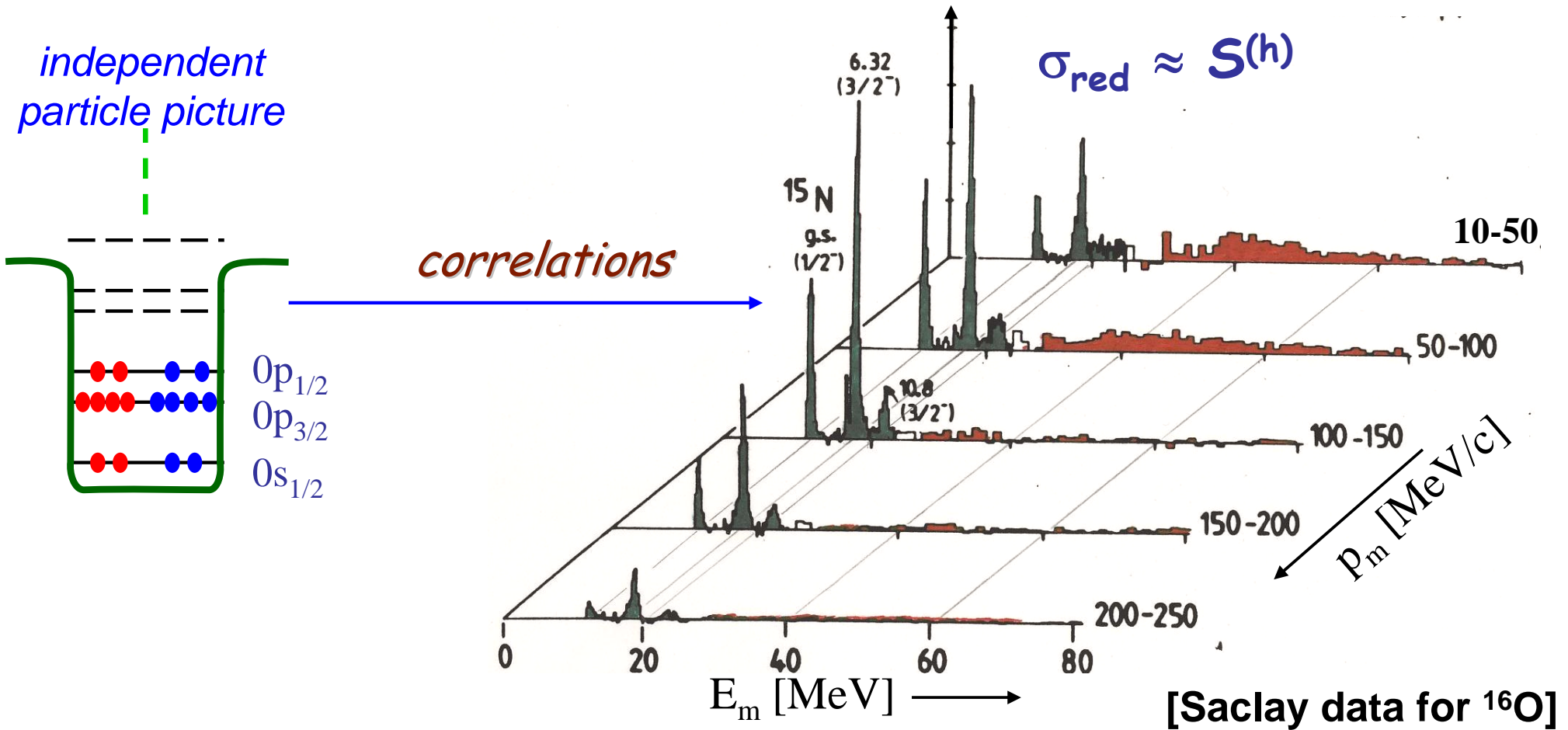
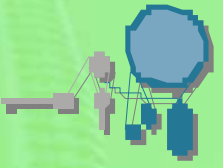
parallel
kinematics

The kinematics are defined in terms of the angle between the final (\mathbf{p}_f) and initial ($\mathbf{p}_i = -\mathbf{p}_m$) momentum of the proton

(picture credit: D.Rohe, habilitaion thesis)

CB, Rohe, Sick, Lapikás, Phys. Lett. **B608** 47 (05)

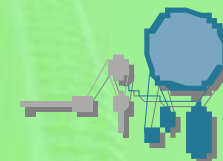
One-hole spectral function -- example



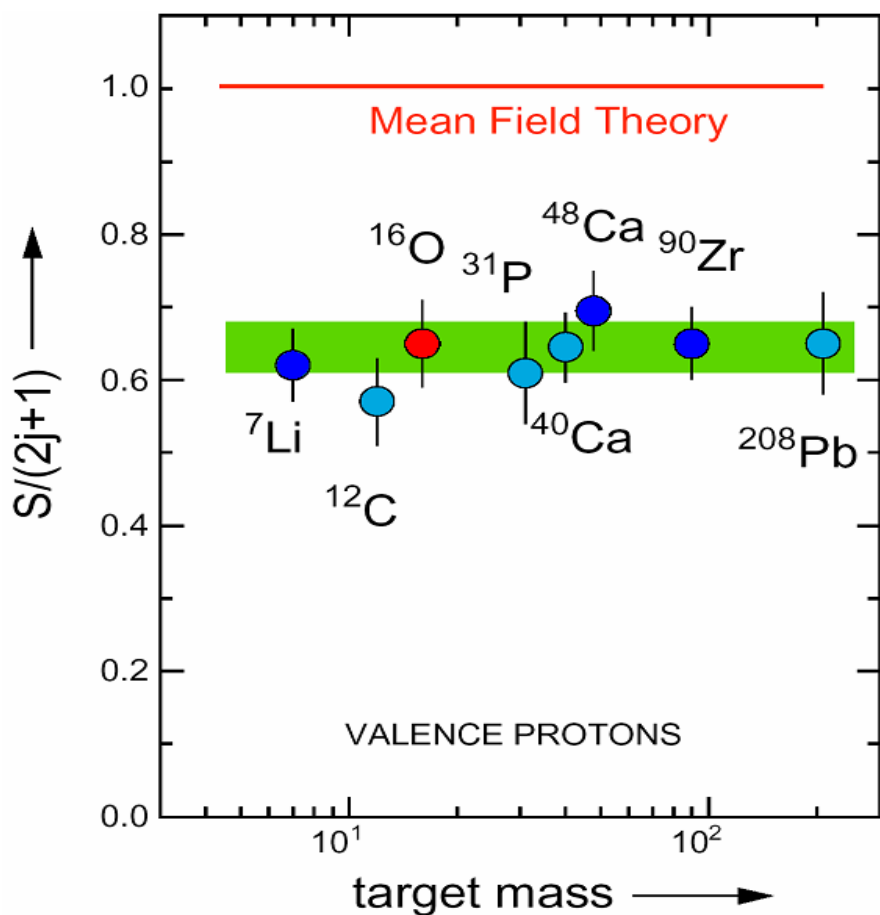
$$S^{(h)}(p_m, E_m) = \sum_n |\langle \Psi_n^{A-1} | c_{p_m}^- | \Psi_0^A \rangle|^2 \delta(E_m - (E_0^A - E_n^{A-1}))$$

→ distribution of momentum (p_m) and energies (E_m)

Experimental spectroscopic factors

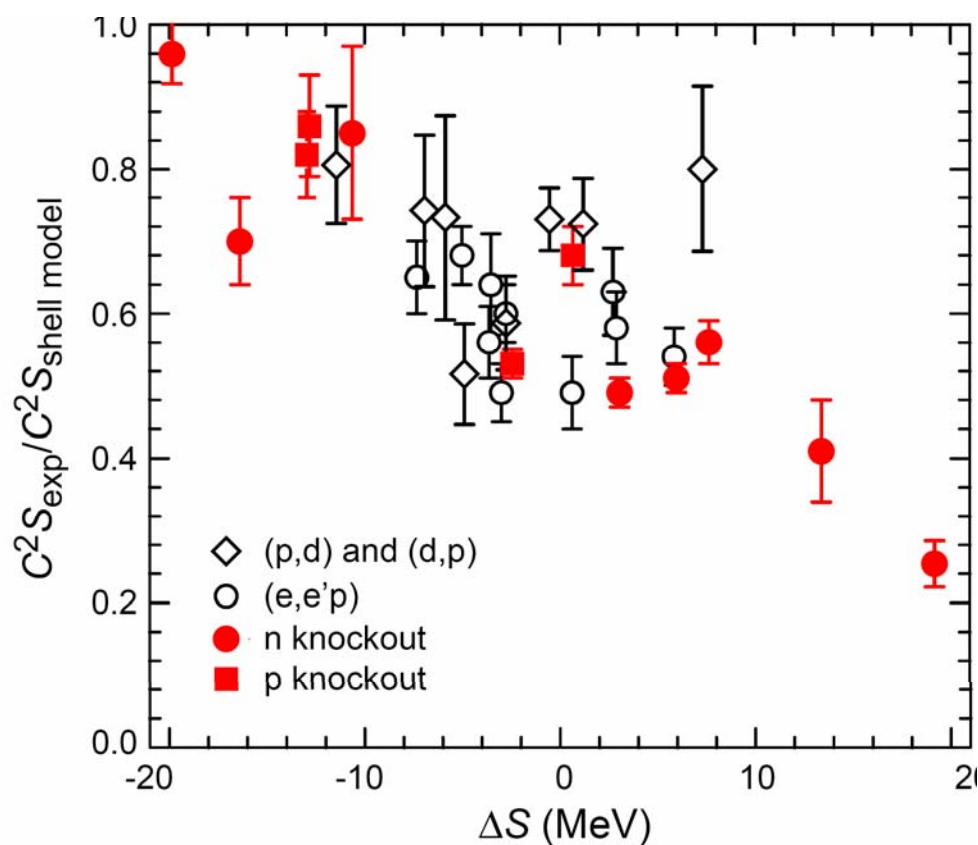


NIKHEF



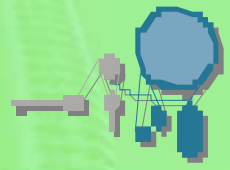
Nucl. Phys. A553 (1993) 297c

MSU/NSCL



PRL93, 042501 (2004)

Correlations and spect. factors

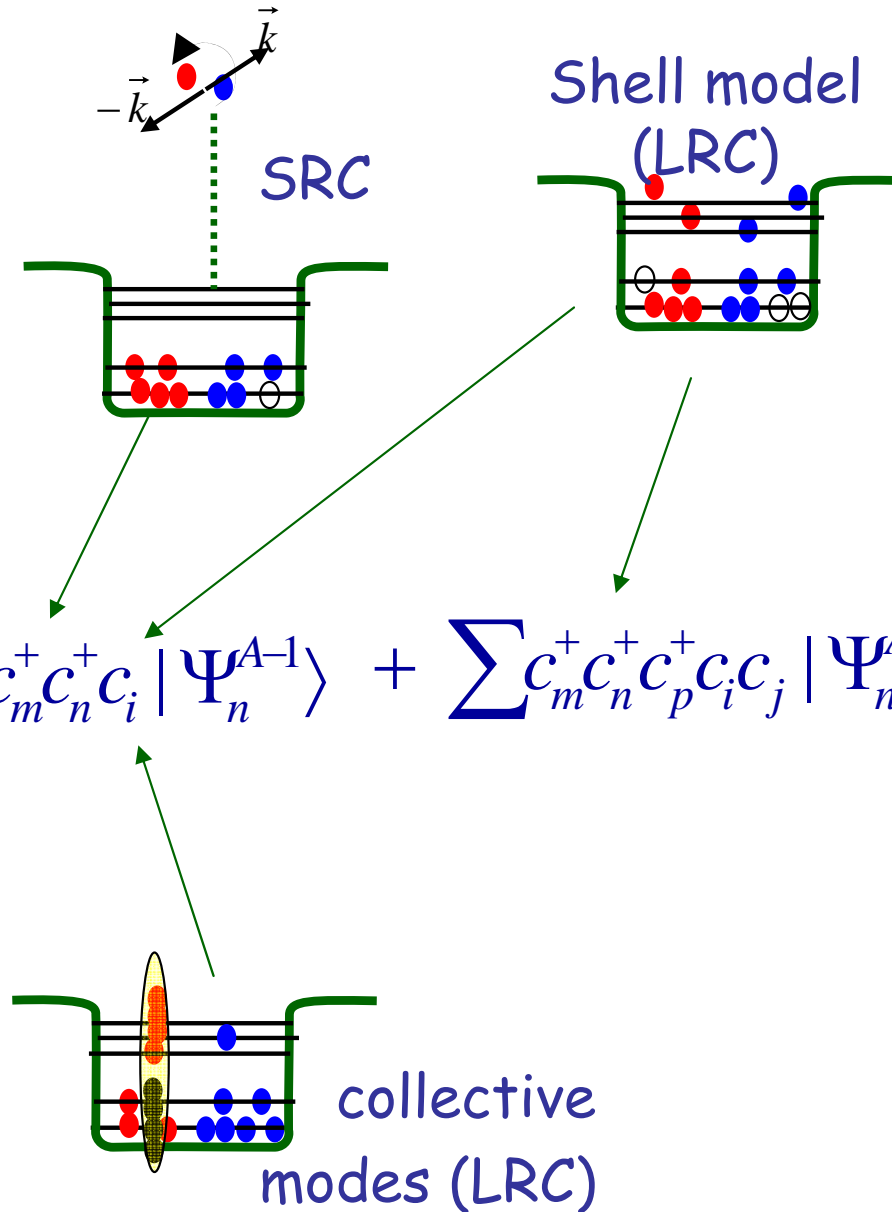


$$S = \left| \langle \Psi_0^A | c_{-n}^+ | \Psi_n^{A-1} \rangle \right|^2$$

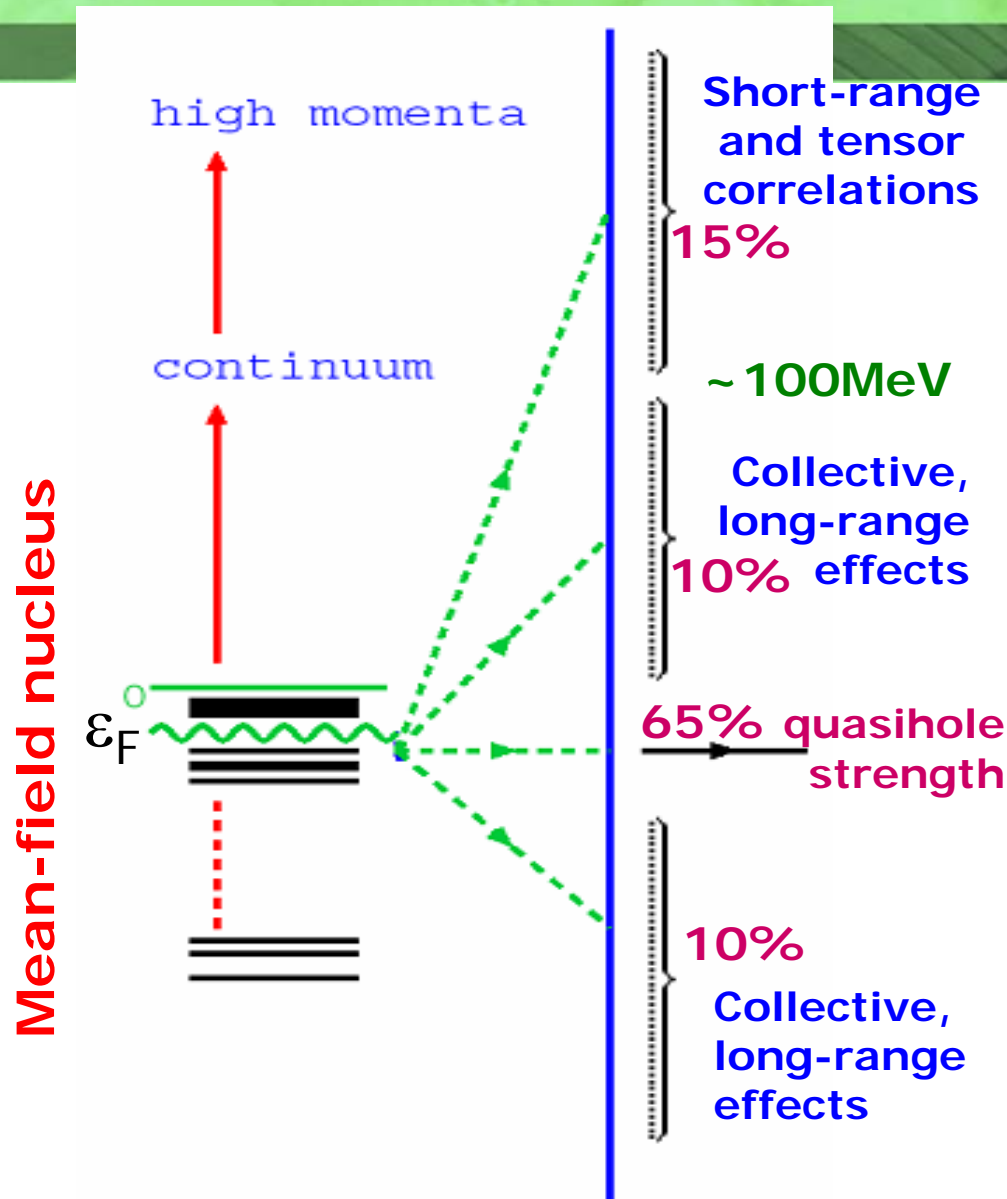
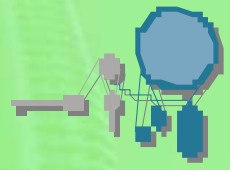
Schematically:

$$|\Psi_0^A\rangle \approx \alpha c_{-n}^+ |\Psi_n^{A-1}\rangle + \sum c_m^+ c_n^+ c_i |\Psi_n^{A-1}\rangle + \sum c_m^+ c_n^+ c_p^+ c_i c_j |\Psi_n^{A-1}\rangle + \dots$$

$\alpha^2 \rightarrow$ spectroscopic factor

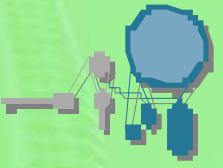


Correlations and spect. factors



Spectral strength for a correlated nucleus

Green's functions in many-body theory



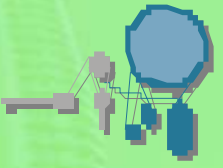
One-body Green's function (or propagator) describes the motion of quasi-particles and holes:

$$g_{\alpha\beta}(\omega) = \sum_n \frac{\langle \Psi_0^A | c_\alpha | \Psi_n^{A+1} \rangle \langle \Psi_n^{A+1} | c_\beta^\dagger | \Psi_0^A \rangle}{\omega - (E_n^{A+1} - E_0^A) + i\eta} + \sum_k \frac{\langle \Psi_0^A | c_\beta^\dagger | \Psi_k^{A-1} \rangle \langle \Psi_k^{A-1} | c_\alpha | \Psi_0^A \rangle}{\omega - (E_0^A - E_k^{A-1}) - i\eta}$$

...this contains all the structure information probed by nucleon transfer (spectral function):

$$S_\alpha(\omega) = \frac{1}{\pi} \text{Im} g_{\alpha\alpha}(\omega) = \sum_n |\langle \Psi_n^{A+1} | c_\alpha | \Psi_0^A \rangle|^2 \delta(\omega - (E_0^A - E_n^{A+1}))$$

Principal Many-body Green's functions



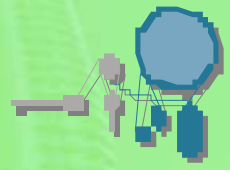
Quasiparticle and phonon excitations can be described with many-body Green's functions:

$$g_{\alpha\beta}(\omega) = \langle \Psi_o^A | c_\alpha \frac{1}{\omega - H + i\eta} c_\beta^\dagger | \Psi_o^A \rangle + \dots \quad \text{one-body propagator}$$

$$G_{\alpha\beta, \gamma\delta}^{II}(\omega) = \langle \Psi_o^A | c_\alpha c_\beta \frac{1}{\omega - H + i\eta} c_\delta^\dagger c_\gamma^\dagger | \Psi_o^A \rangle + \dots \quad \text{two-body propagator}$$

$$\Pi_{\alpha\beta, \gamma\delta}(\omega) = \langle \Psi_o^A | c_\alpha^\dagger c_\beta \frac{1}{\omega - H + i\eta} c_\delta^\dagger c_\gamma | \Psi_o^A \rangle + \dots \quad \begin{array}{l} \text{polarization (ph)} \\ \text{propagator} \end{array}$$

Principal Many-body Green's functions



Quasiparticle and phonon excitations can be described with many-body Green's functions:

$$g_{\alpha\beta}(\omega)$$

addition removal of *one* particle, spectra of $A\pm 1$ particle systems, one-body density, optical potential.

$$G_{\alpha\beta, \gamma\delta}^{II}(\omega)$$

addition removal of *two* particles, spectra of $A\pm 2$ particle systems, two-body density

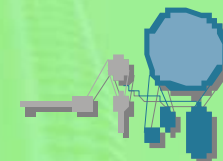
$$\Pi_{\alpha\beta, \gamma\delta}(\omega)$$

Spectrum of the A particle systems, one-body response

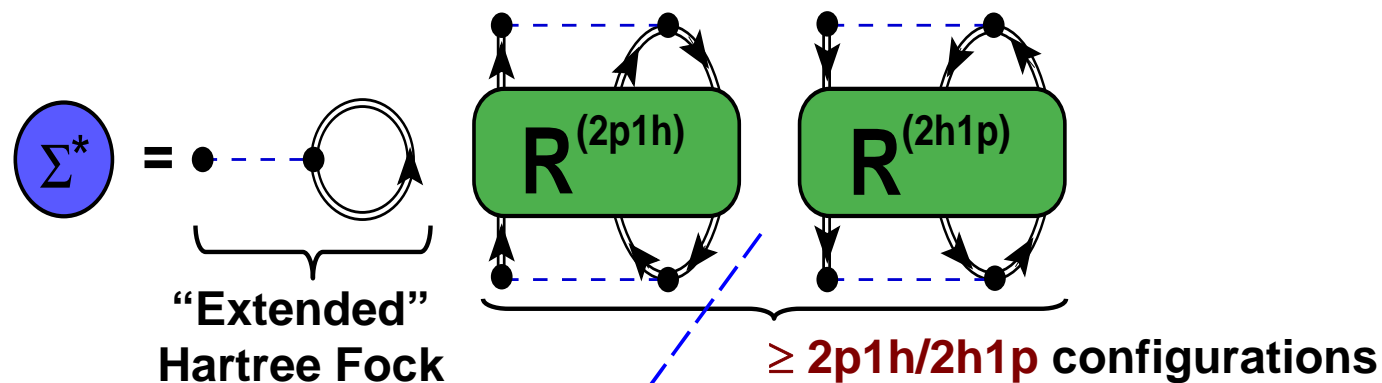
→ linked to a lot of exp. Information

→ "efficiency" with information, only transition amplitudes are generated

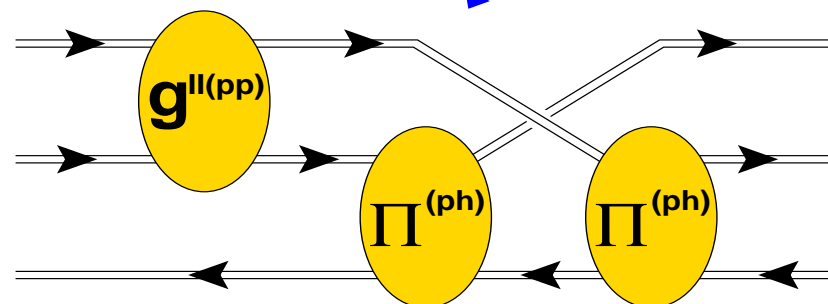
Coupling single particle to collective modes



- Non perturbative expansion of the self-energy:



- Explicit correlations enter the "three-particle irreducible" propagators:



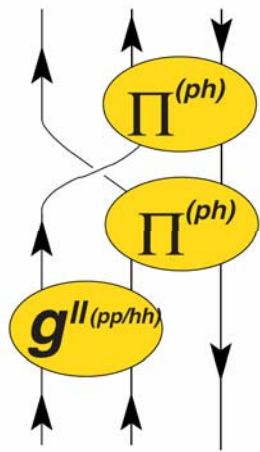
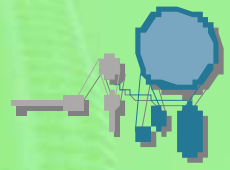
- Both **pp (ladder)** and **ph (ring)** modes included
- Pauli exchange at 2p1h/2h1p level

\equiv *particle*
 \equiv *hole*

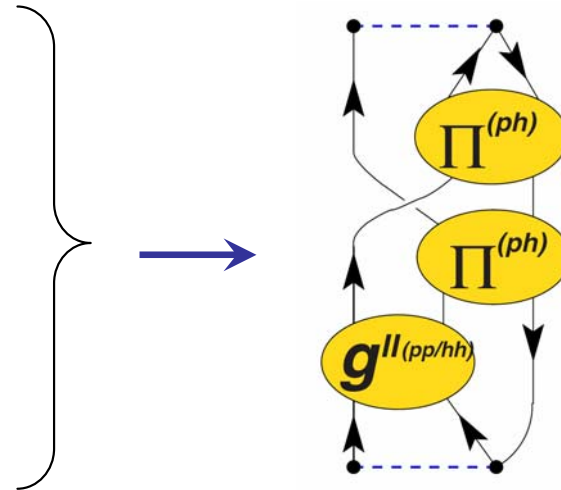
PRC63, 034313 (2001)
 PRC65, 064313 (2002)
 PRA76, 052503 (2007)



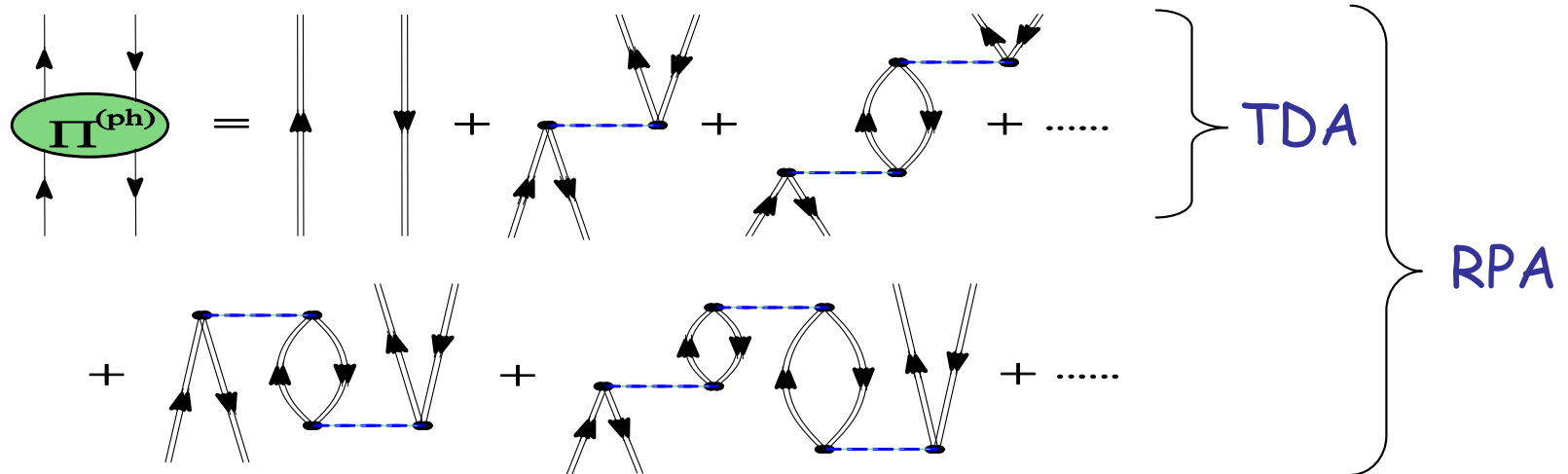
FRPA: Faddeev summation of RPA propagators



- Both pp (ladder) and ph (ring) modes included
- Pauli exchange at 2p1h/2h1p level
- All order summation through a set of Faddeev equations



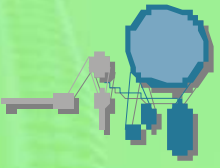
where:



References: CB, et al., Phys. Rev. C63, 034313 (2001); Phys. Rev. A76, 052503 (2007)

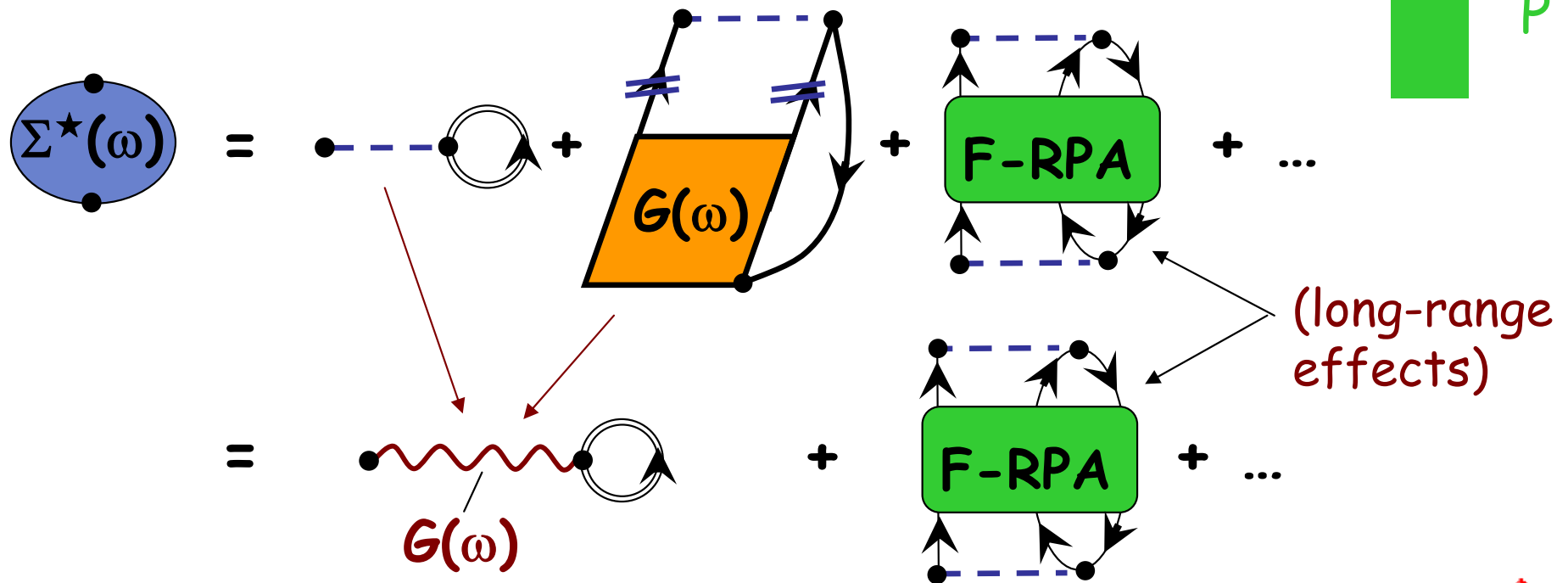
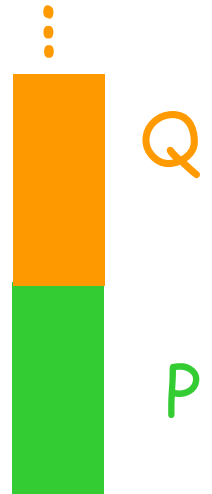


Treating short-range corr. with a G -matrix

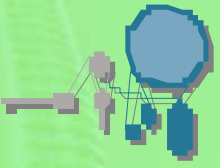


- The short-range core can be treated by resumming ladders outside the model space:

$$G(\omega) = V + V \frac{\hat{Q}}{\omega - (k_a^2 + k_b^2)/2m + i\eta} G(\omega)$$



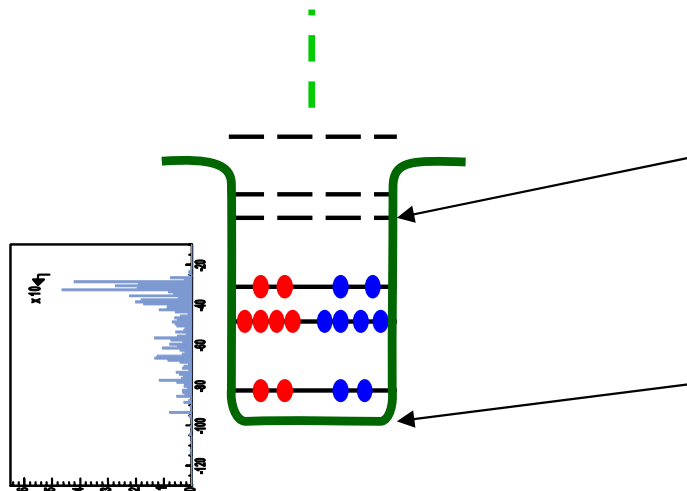
Treating short-range corr. with a G -matrix



- The short-range core can be treated by resumming ladders outside the model space:

$$\Sigma_{\alpha\beta}^{\text{BHF}}(\omega) = i \sum_{\gamma\delta} \int \frac{d\omega'}{2\pi} G_{\alpha\gamma, \delta\beta}(\omega + \omega') g_{\delta\gamma}(\omega') = \text{Diagram}$$

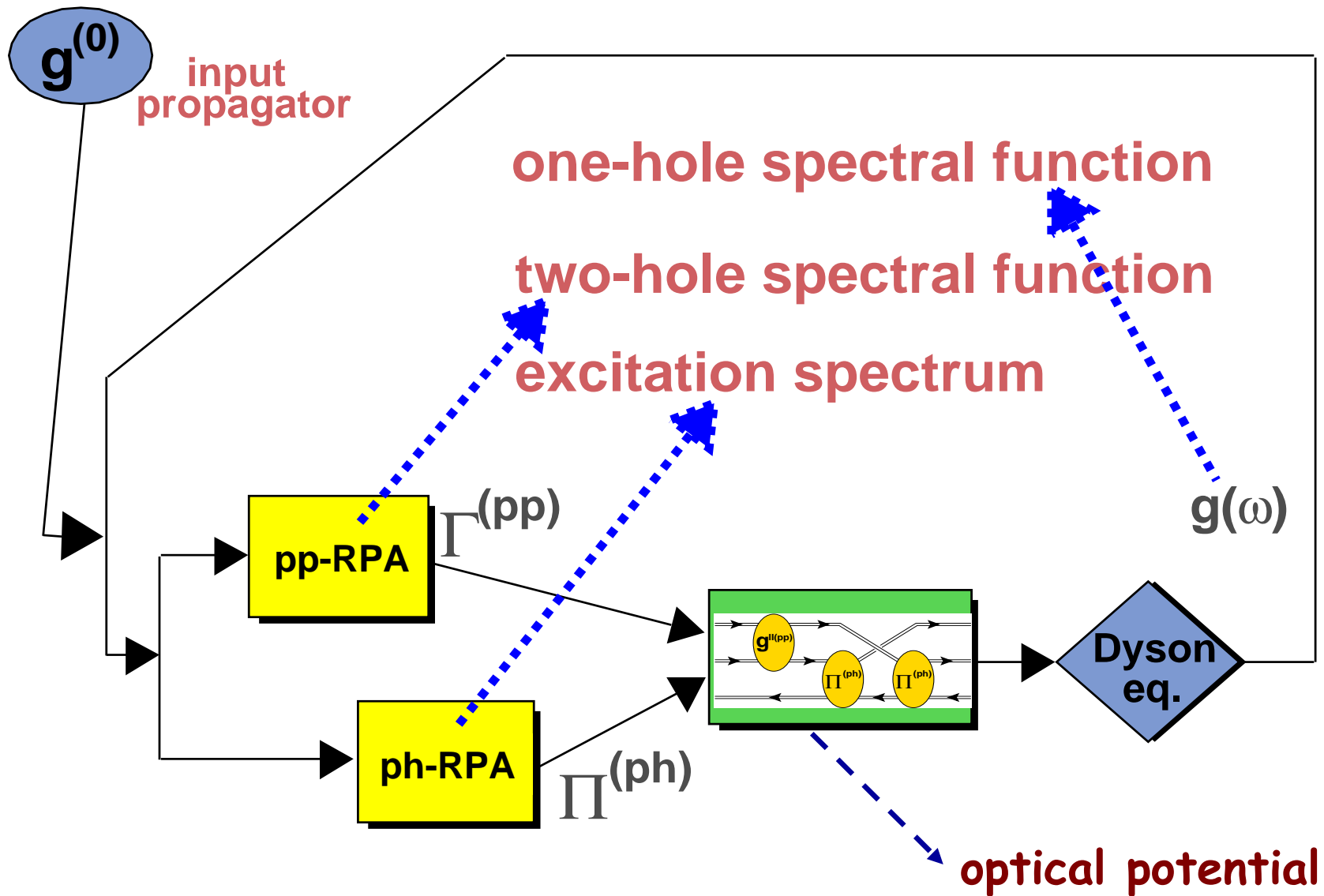
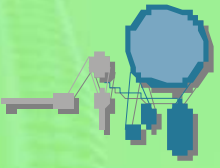
The diagram shows a red wavy line representing the $G(\omega)$ matrix, connected to a circular loop with an arrow indicating a summation or iteration.



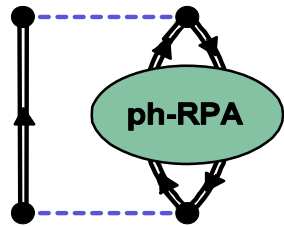
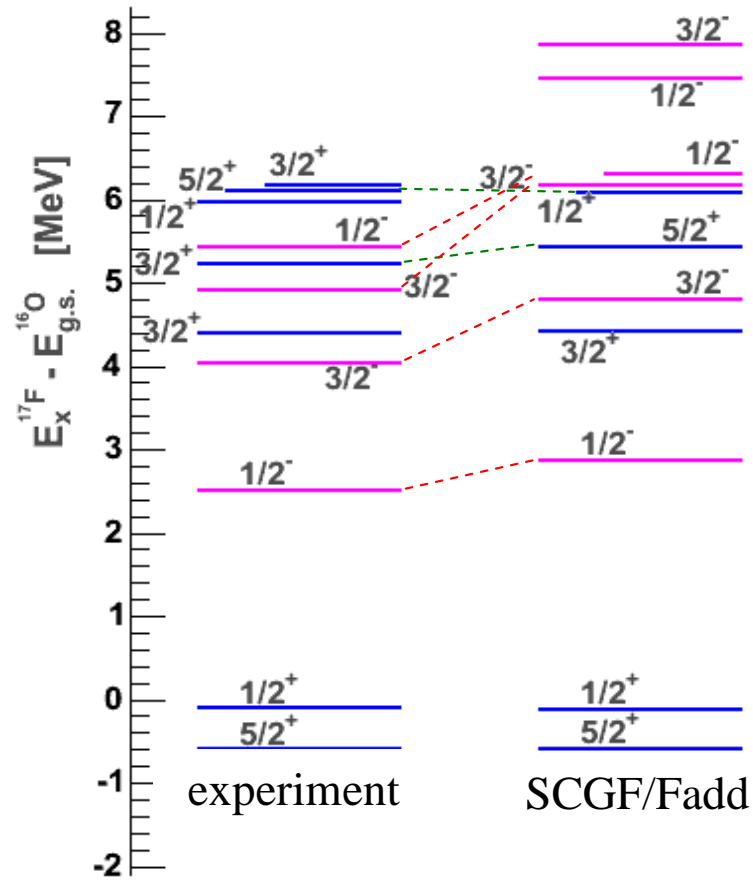
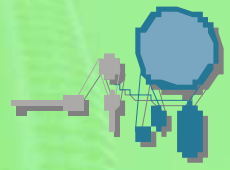
Near E_F : long-range / SM-like physics
 → **stronger** eff. interaction

Deeply bound "orbitals": binding!
 the HF mean-field is **weaker**

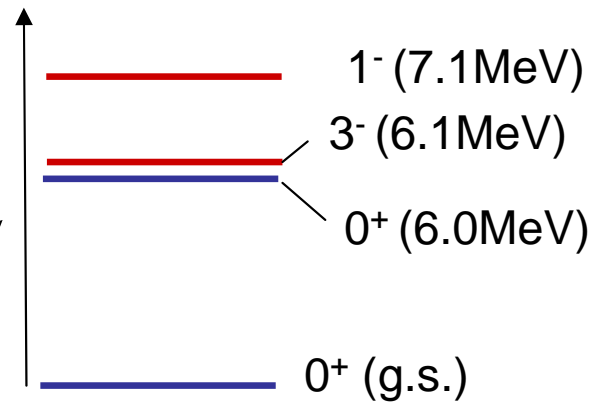
Self-consistent Green's function approach



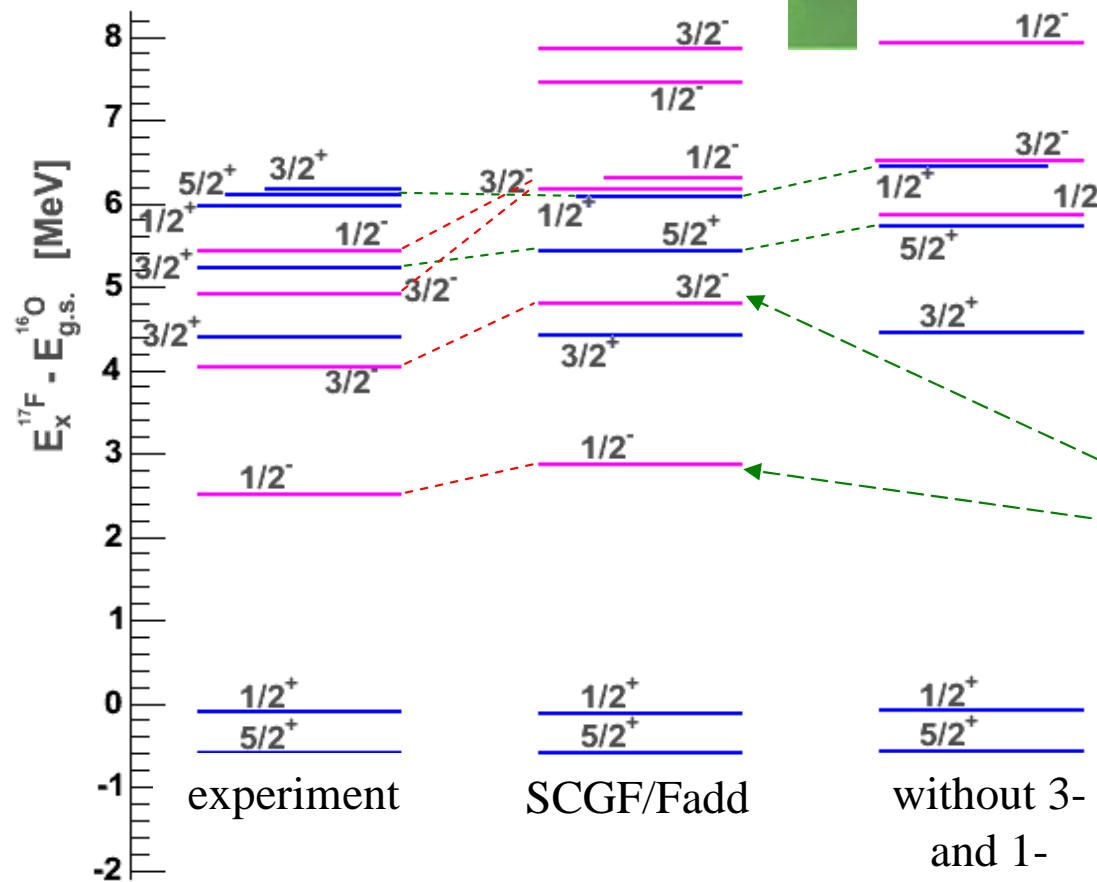
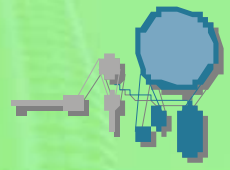
Quasiparticle spectrum of ^{16}O (i.e. ^{17}F)



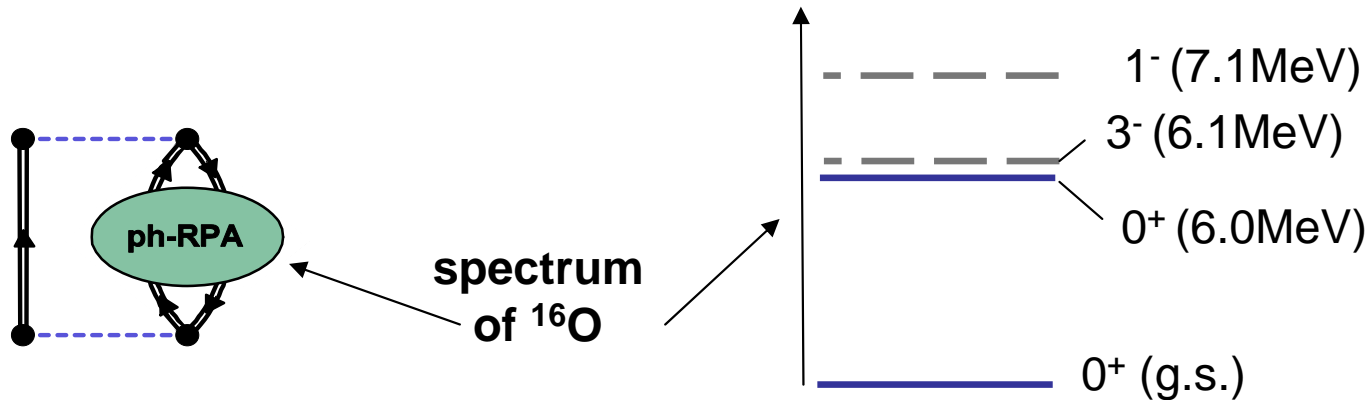
spectrum
of ^{16}O



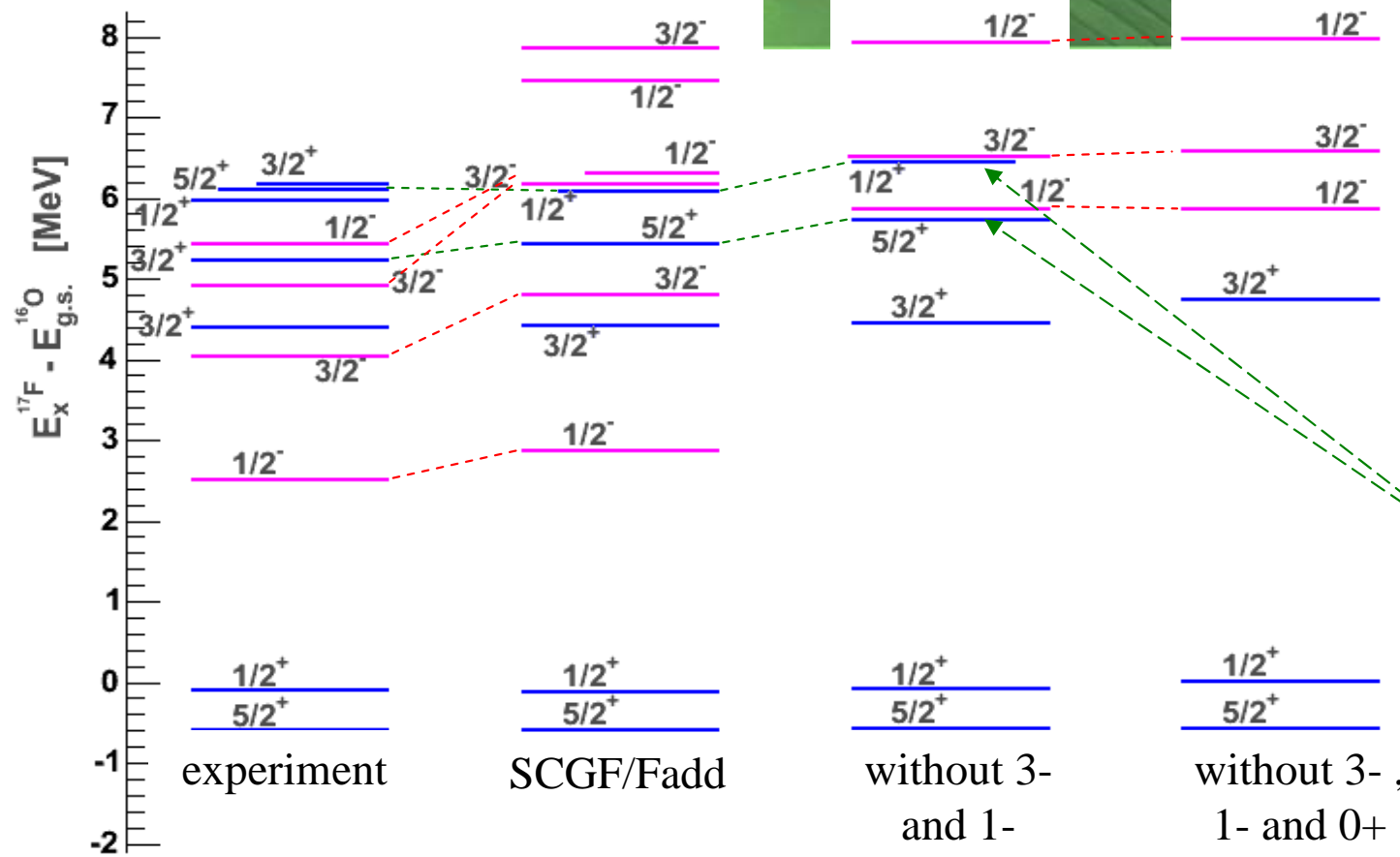
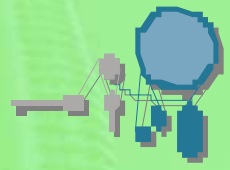
Quasiparticle spectrum of ^{16}O (i.e. ^{17}F)



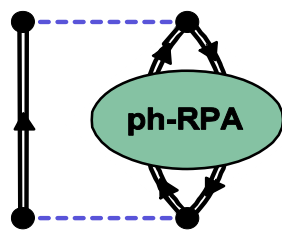
coupling a proton to 3- and 1- phonons in ^{16}O



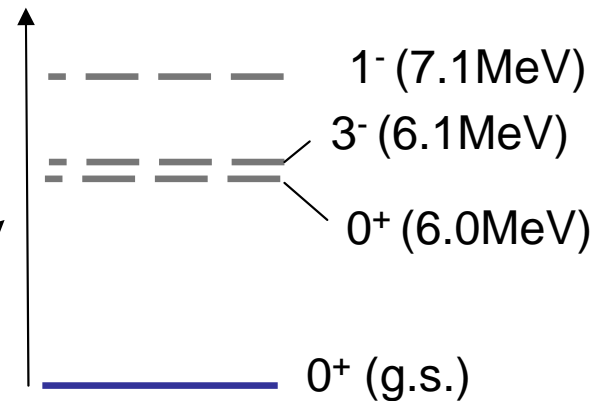
Quasiparticle spectrum of ^{16}O (i.e. ^{17}F)



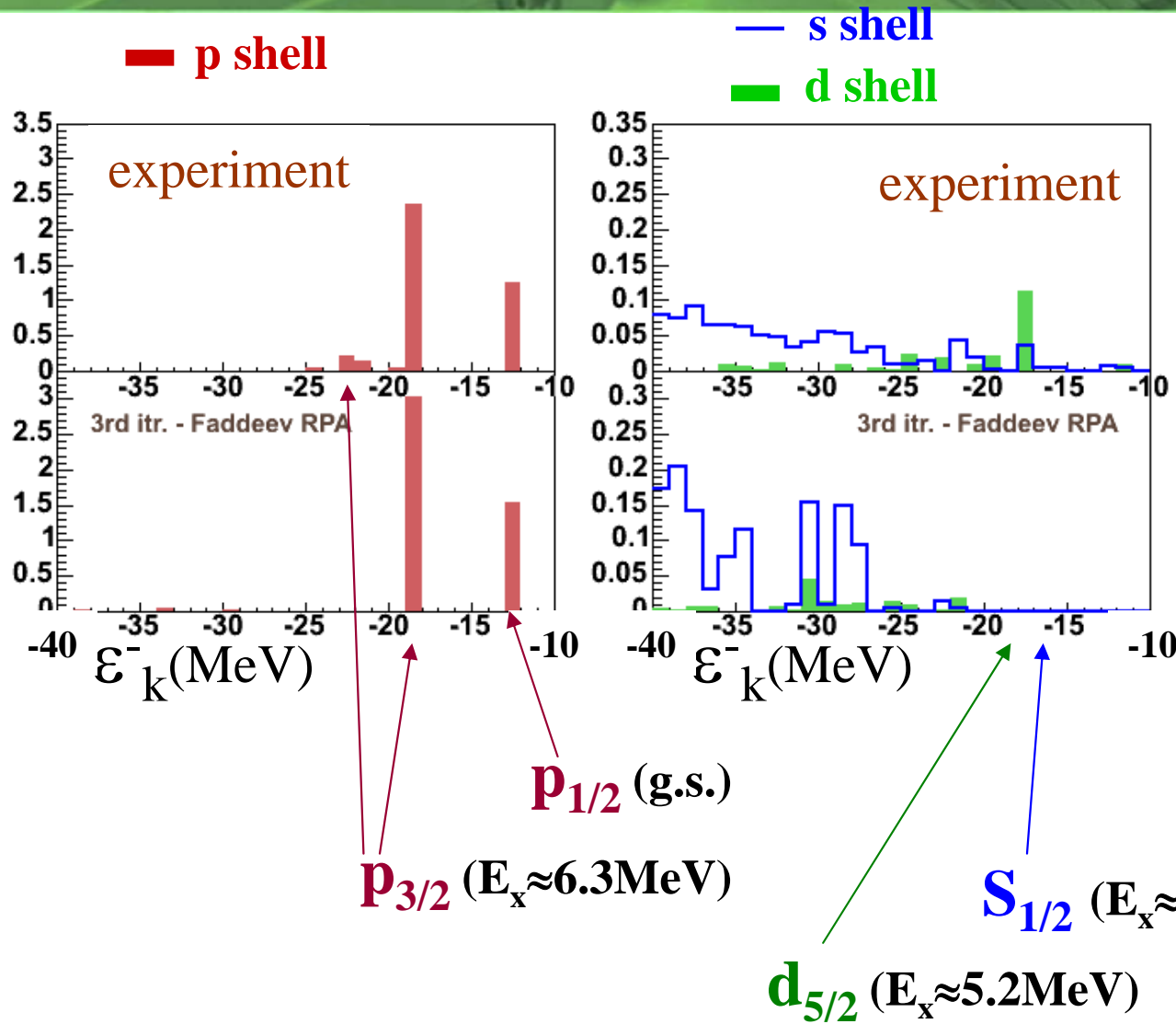
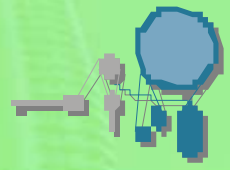
particle on the first 0^+ excited state



spectrum of ^{16}O

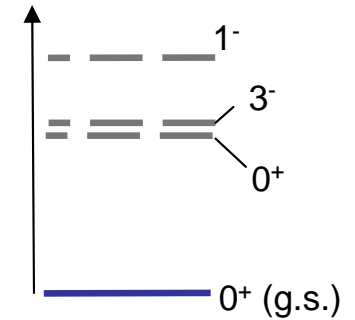


Results for the hole spectral function of ^{16}O



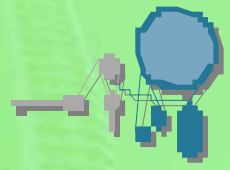
Experiment from
 NIKHEF, Leuschner et. al.,
 PRC59, 655 (94)

← Results from
 Faddeev expansion
 and SCGF

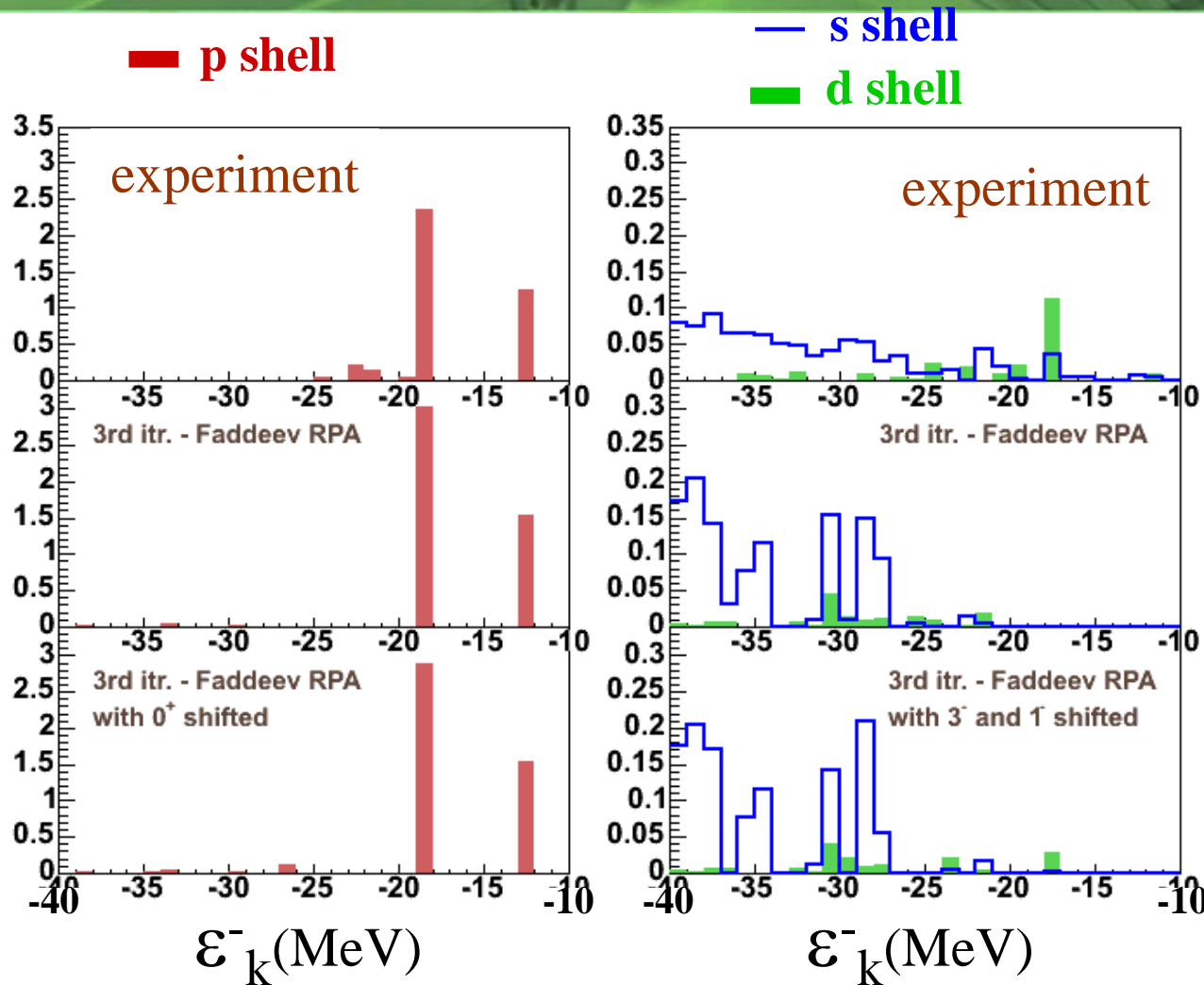


C.B. et. al., PRC65, 064313 (2002)

Results for the hole spectral function of ^{16}O



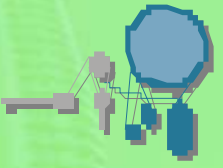
C.B. and WD, PRC65, 064313 (02)



**Experiment from
 NIKHEF, Leuschner et. al.,
 PRC59, 655 (94)**

- Results from Faddeev expansion and SCGF

Results for the hole spectral function of ^{16}O

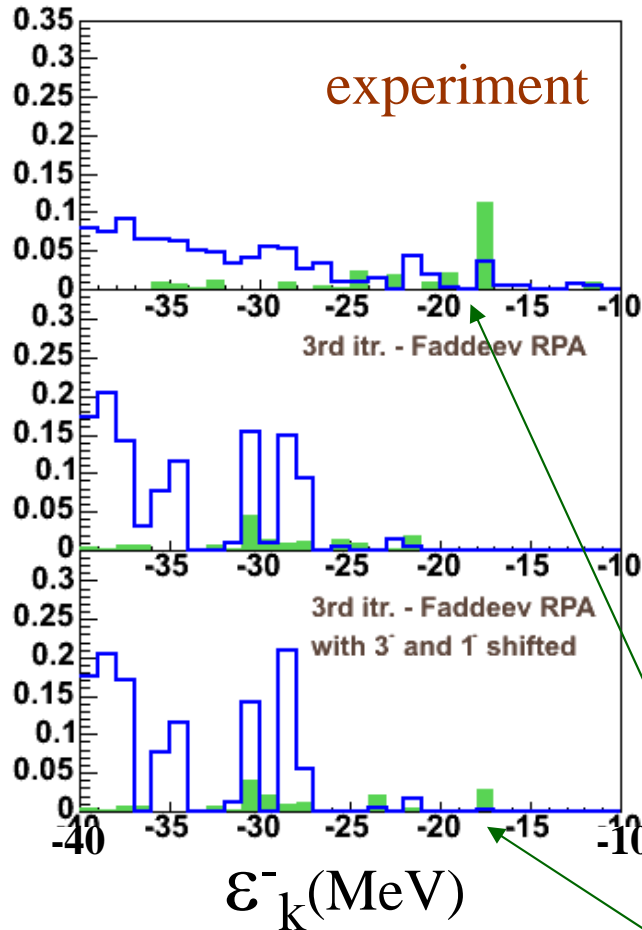
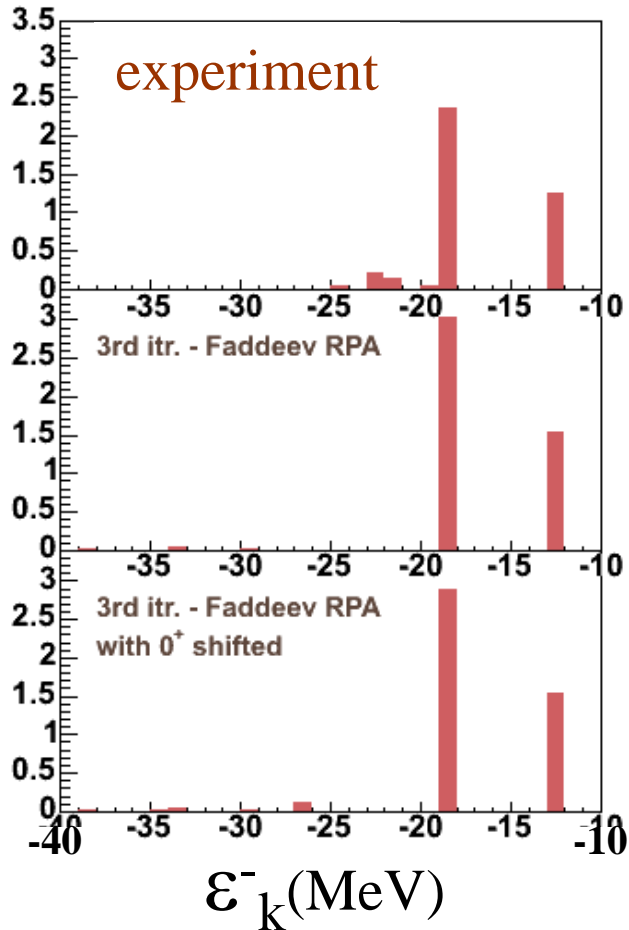


C.B. and WD, PRC65, 064313 (02)

■ p shell

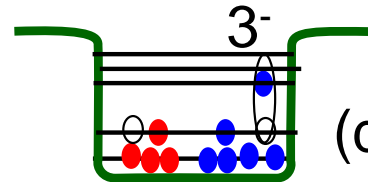
— s shell

■ d shell



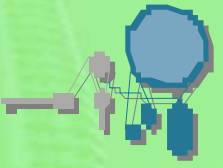
Experiment from
NIKHEF, Leuschner et. al.,
PRC59, 655 (94)

• Results from
Faddeev
expansion and
SCGF

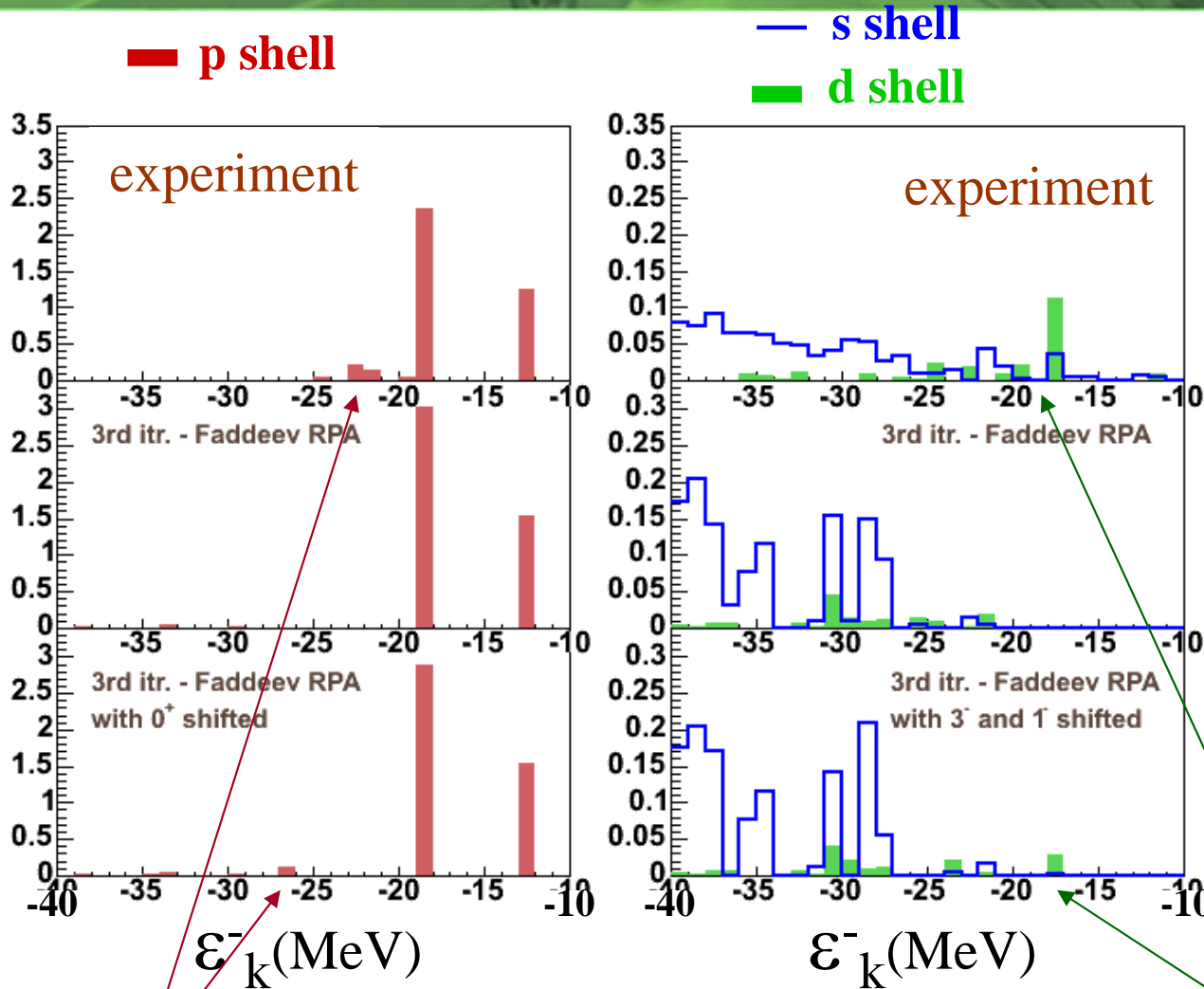


$$(d_{5/2} p_{1/2}^{-1}) p_{1/2}^{-1} \approx d_{5/2} \otimes 3^-$$

Results for the hole spectral function of ^{16}O

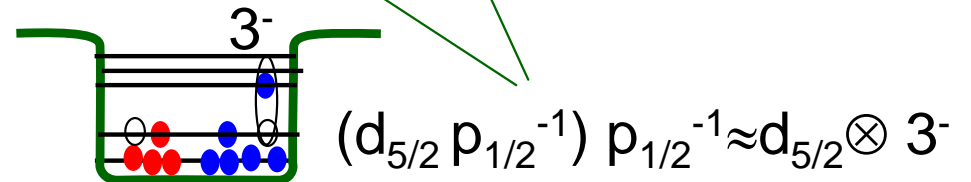
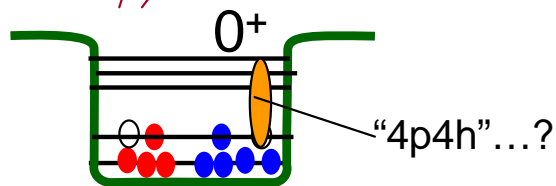


C.B. and WD, PRC65, 064313 (02)

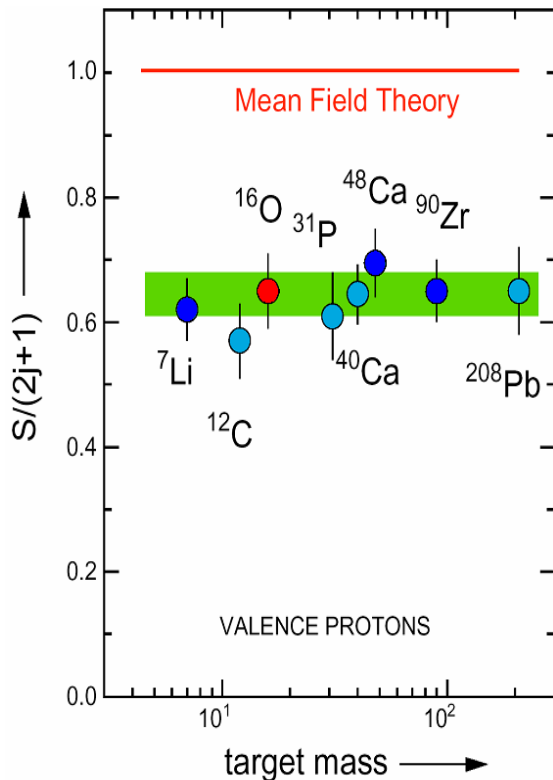
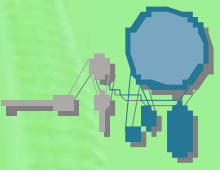


Experiment from
 NIKHEF, Leuschner et. al.,
 PRC59, 655 (94)

- Results from Faddeev expansion and SCGF



Quasihole fragments in ^{16}O (spectroscopic factors)



- Short-range correlations oriented methods:

- VMC [Argonne, '94]
- GF(SRC) [St.Louis-Tübingen '95]
- FHNC/SOC [Pisa '00]

$S_{p1/2}$

$S_{p3/2}$

0.90

0.91

0.90

0.89

- Including particle-phonon couplings:

- GF(Faddeev) [St.Louis '01]
[CB et al., Phys. Rev. C65, (02)]

0.77

0.72

- Experiment:

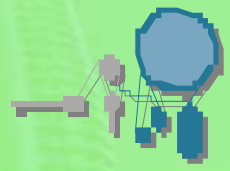
0.63

0.67 ±0.05
(estimated uncertainty)

→ most elaborated calculations for ^{16}O

→ relevance of collective modes (but still need to do better)

Details of calculations



^{16}O



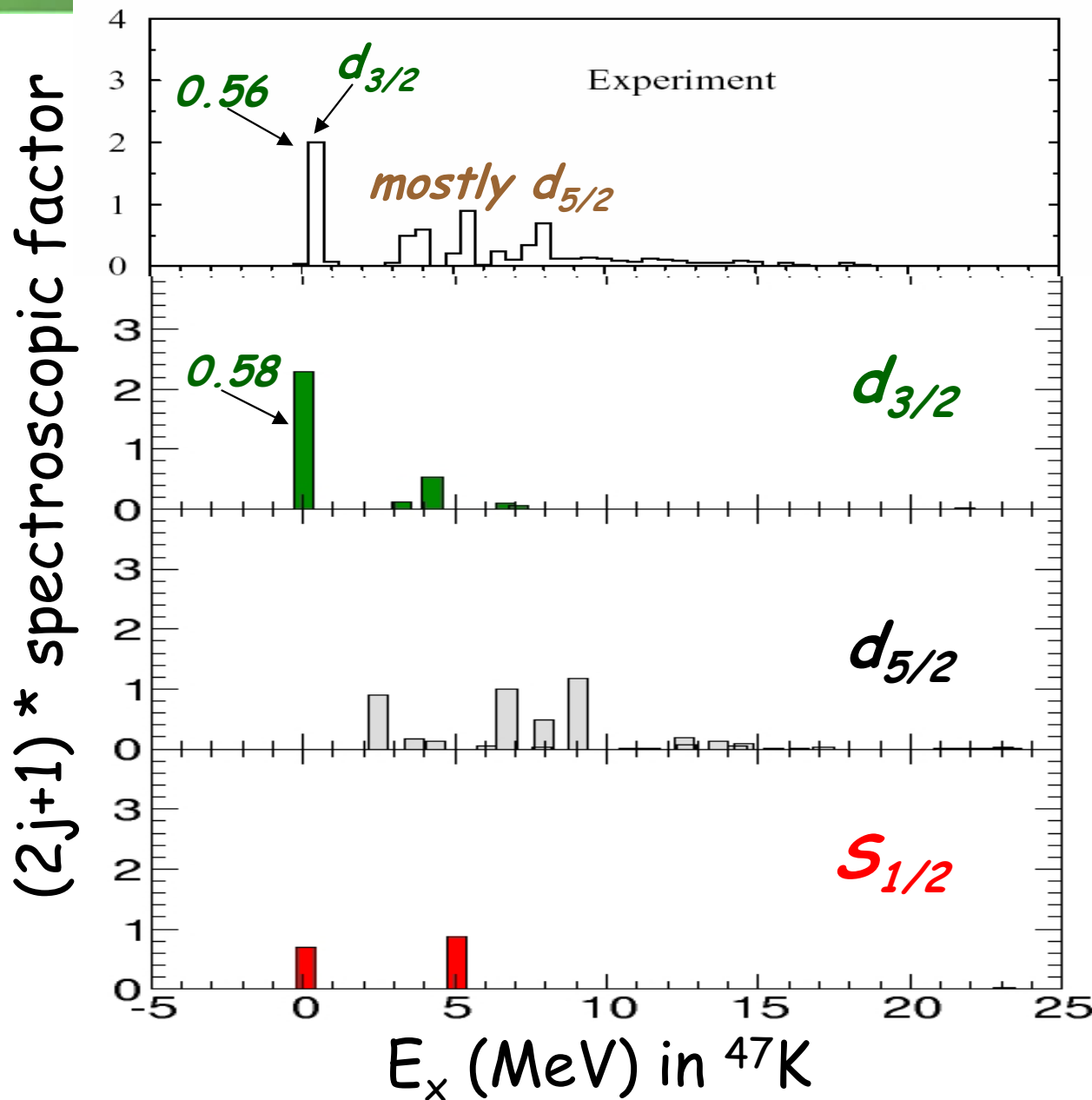
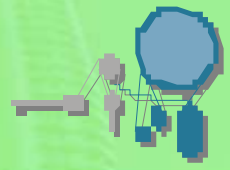
- 4 major oscillator shells
- G -matrix derived from Bonn-C
- Full self-consistency

^{48}Ca , ^{56}Ni ,
etc...



- Up to 8 major oscillator shells (more possible)
- G -matrix derived from N3LO + Coulomb
- Partial self-consistency only for the mean-field

Spectral function $^{48}\text{Ca} (e, e' p) ^{47}\text{K}$



NIKHEF
G. Kramer, Thesis

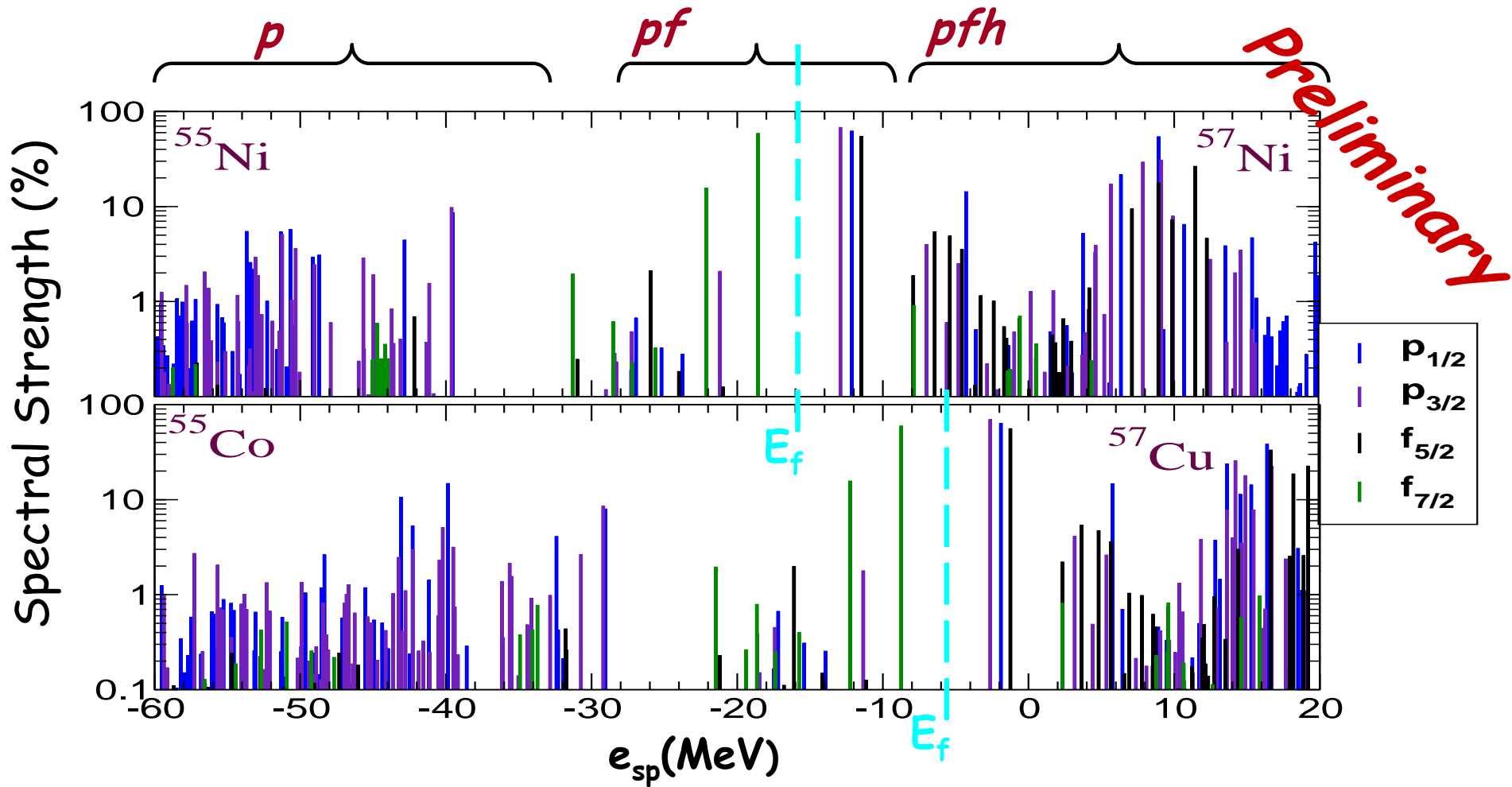
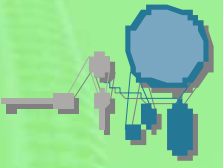
Includes :

- low-energy mixing in the Fadd-RPA scheme
- long-range correlations
- high-energy mixing
- short-range correlations

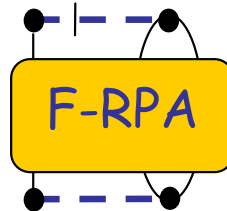
CB, to be published



Example of calculated spectral function around ^{56}Ni

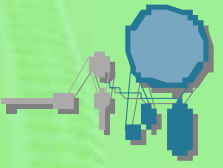


Fadd-RPA perturbation self-energy:



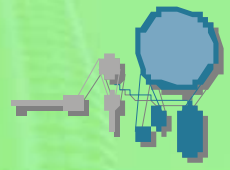
Gmtx based on $N^3\text{LO}$





Applications to Electron Systems

Why RPA?

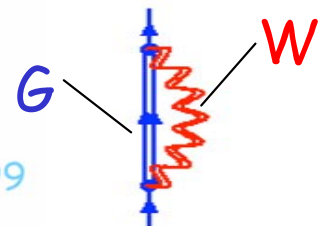


Describing phonons in RPA theory:

- Nuclei \rightarrow collective modes
- Electron gas \rightarrow screening of long-range Coulomb, correlation energies

Electron gas : -XC energies (Hartrees)

| <u>Method</u> | $r_s = 1$ | $r_s = 2$ | $r_s = 4$ | $r_s = 5$ | $r_s = 10$ | $r_s = 20$ | <u>Reference</u> |
|---------------|-----------|-----------|-----------|-----------|------------|------------|------------------|
| QMC | 0.5180 | 0.2742 | 0.1464 | 0.1197 | 0.0644 | 0.0344 | CA80 |
| | 0.5144 | 0.2729 | 0.1474 | 0.1199 | 0.0641 | 0.0344 | OB94;OHB99 |
| GW | 0.5160 | 0.2727 | 0.1450 | 0.1185 | 0.0620 | 0.032 | GG01 |
| | | 0.2741 | 0.1465 | | | | HB98 |



- Quasiparticle-DFT (D. van Neck) \rightarrow needs a consistent description of both small and extended e- systems.

Comparison with coupled-cluster theory

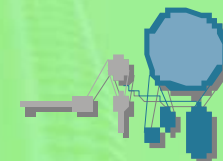
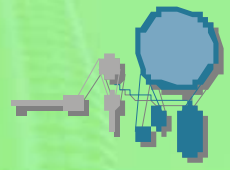


TABLE I. Characteristics of nD-ADC and CC methods (explicit configuration space, perturbation-theoretical consistency for ionization energies (Ω), and ground-state (E_0) energies scaling).

| Method | Configuration space | Ω | | E_0 | Scaling ^a |
|-------------------------------------|---------------------|----------|-------|-------|----------------------|
| | | 1h | 2h-1p | | |
| ADC(2) | 1h, 2h-1p | 2 | 0 | 2 | n^4 |
| ADC(2)-E | 1h, 2h-1p | 2 | 1 | 2 | n^5 |
| CCSD | 1h, 2h-1p | 2 | 1 | 3 | n^6 |
| F-RPA, F-TDA \equiv ADC(3) | 1h, 2h-1p | 3 | 1 | 3 | |
| CCSDT | 1h, 2h-1p, 3h-2p | 3 | 2 | 4 | |

A.B.Trofimov, J. Schirmer, J. Chem. Phys. **123**, 144115 (2005).

Binding energies for Atoms



| | HF | FTDA | FRPA | Exp. |
|-----|-----|------|------|----------|
| He: | +44 | +1 | +1 | -2.904 |
| Be: | +94 | +24 | +24 | -14.667 |
| Ne: | 281 | +15 | +11 | -128.928 |
| Mg: | 426 | -12 | -15 | -200.043 |

Phys. Rev. A76,
052503 (2007).

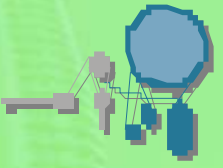
+ CB and van Neck,
work in progress

Energies in Hartree /

Relative to the experiment in mH

cc-pV(TQ)Z bases, extrapolated as $E_x = E_\infty + AX^{-3}$ (≈ 5 mH accuracy)

Valence Ionization Energies

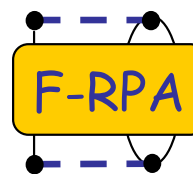
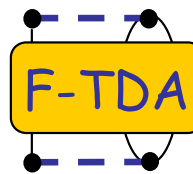
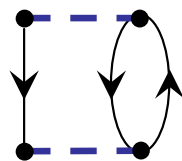
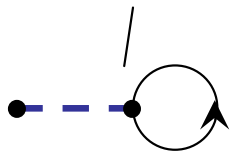


| | HF | 2 nd | FTDA | FRPA | Exp. |
|--------|------|-----------------|------|------|--------|
| He: 1s | -14 | -2 | +2 | +4 | -0.904 |
| Be: 2s | +34 | +23 | +20 | +21 | -0.343 |
| 1s | -200 | -87 | -11 | -7 | -4.533 |
| Ne: 2p | -57 | +30 | -15 | -10 | -0.793 |
| 2s | -149 | +32 | -21 | -15 | -1.782 |
| Mg: 3s | +28 | +7 | +11 | +4 | -0.281 |
| 2p | -161 | -26 | -10 | -10 | -2.12 |
| Ar: 3p | -11 | -6 | -1 | +1 | -0.579 |
| 3s | 201 | -84 | -13 | +10 | -1.075 |
| 2p | -410 | -359 | -53 | -39 | -9.160 |

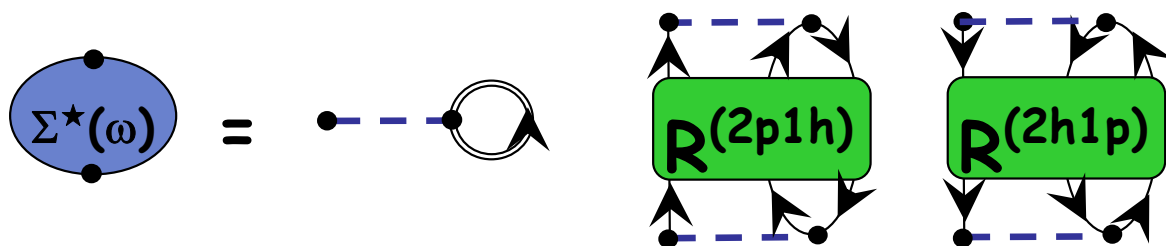
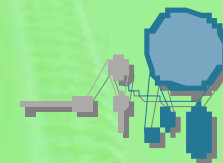
Systematic improvement of ionization energies when including RPA propagators: about 4mH for valence orbits

Energies in Hartree/
Difference w.r.t. the experiment in mH

cc-pV(TQ)Z basis, extrapolated



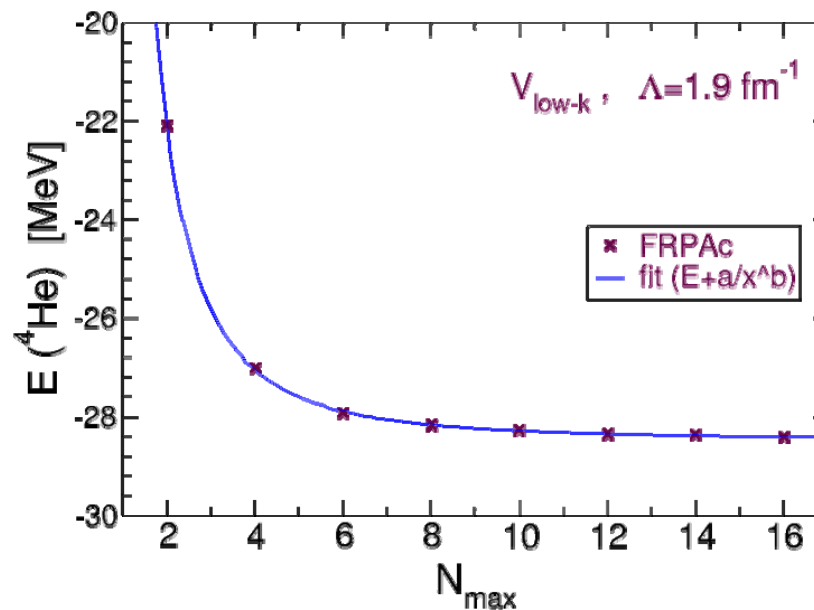
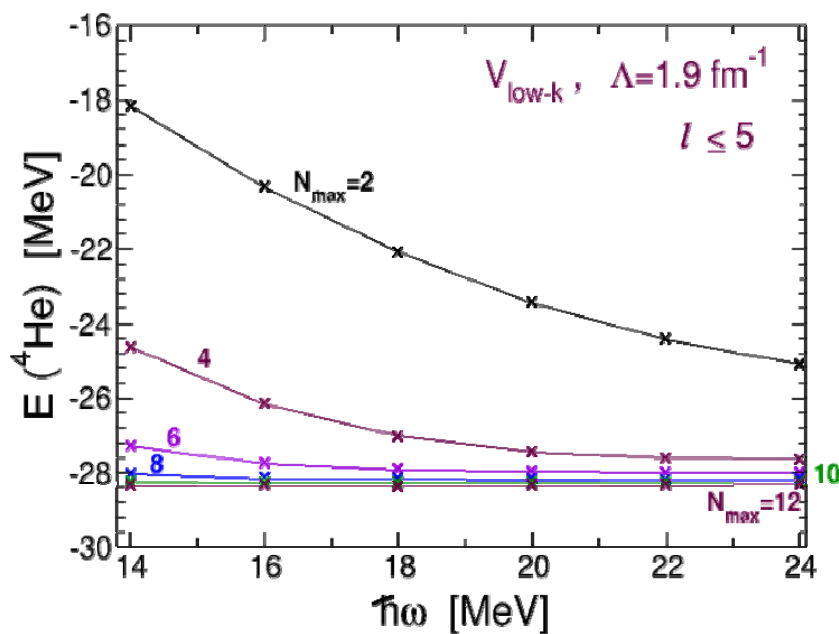
Binding energy - ^4He case



$$H_{\text{int}} = T + V - T_{\text{int}}$$

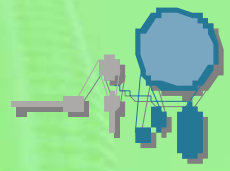
$$E_0^A = \frac{1}{2} \int_{-\infty}^{\bar{\varepsilon}_F} d\omega \sum_{\alpha\beta} \left[\frac{(k^2)_{\alpha\beta}}{2m} + \omega \delta_{\alpha\beta} \right] S_{\beta\alpha}^{(h)}(\omega)$$

binding energy
(Migdal-Galitski-Koltun)

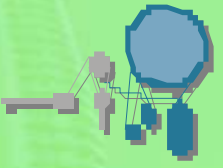


$E_{g.s.}(GF) = -28.49 \text{ MeV}$, $\approx 700 \text{ keV}$ from the exact result (-29.19 MeV)

Conclusions and Outlook



- **Self-Consistent Green's Functions (SCGF)**, in the **Faddeev RPA (FRPA)** approximation are well suited to describe the coupling between particle and collective modes of a many-body system.
- **Spectroscopic factors:**
 - large scale microscopic calculations are now possible
 - work to investigate effects of correlations as a function of asymmetry is underway!
- Theoretical background for developing **dispersive optical model (DOM)** and **quasiparticle-DFT (QP-DFT)**.
- **Ab-initio** applications:
 - **accurate** ionization energies for atoms
 - coherent description of atoms/ e^- gas, possible?
 - convergent calculations in nuclei } work in progress...



...THANKS for your attention!